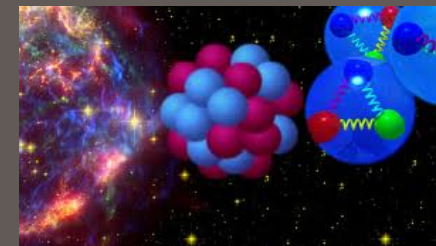
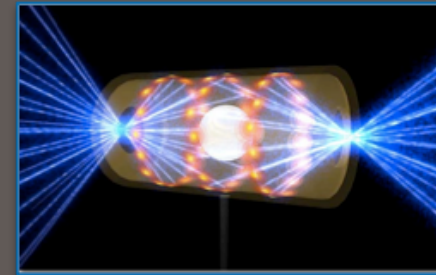


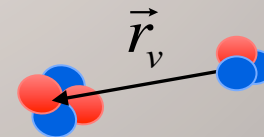
# *Ab initio* calculations of nuclear structure and reactions

The 22<sup>nd</sup> European Conference on Few-Body Problems in Physics  
Kraków, Poland,  
9 - 13 September 2013

Petr Navratil | TRIUMF



- *Ab initio* nuclear structure and reaction methods
  - Exact few-body calculations
  - GFMC
  - Nuclear Lattice
  - CCM
  - In-medium SRG
  - SCGF
- No-core shell model
- Including the continuum with the resonating group method
  - NCSM/RGM
  - NCSMC
- Outlook



# *Ab initio* Nuclear Structure & Reaction approaches

## *Ab initio*

- ✧ All nucleons are active
- ✧ Exact Pauli principle
- ✧ Realistic inter-nucleon interactions
  - ✧ Accurate description of NN (and 3N) data
- ✧ Controllable approximations

# Chiral Effective Field Theory

- **First principles for Nuclear Physics:**

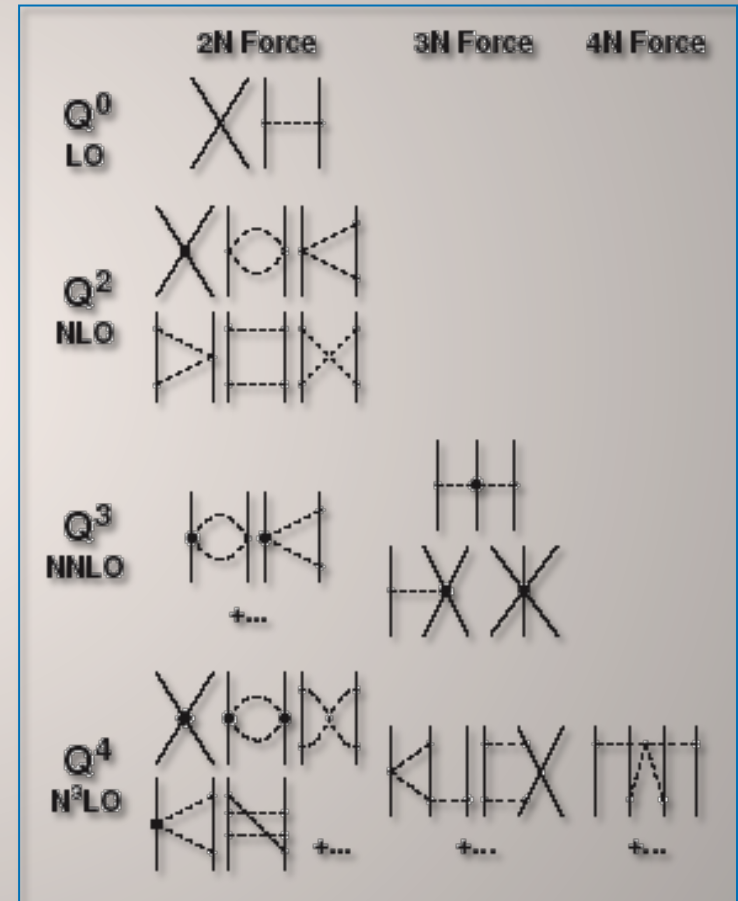
## QCD

- Non-perturbative at low energies
- Lattice QCD in the future

- ***For now a good place to start:***

- **Inter-nucleon forces from chiral effective field theory**

- Based on the symmetries of QCD
  - Chiral symmetry of QCD ( $m_u \approx m_d \approx 0$ ), spontaneously broken with pion as the Goldstone boson
  - Degrees of freedom: nucleons + pions
- Systematic low-momentum expansion to a given order ( $Q/\Lambda_\chi$ )
- Hierarchy
- Consistency
- Low energy constants (LEC)
  - Fitted to data
  - Can be calculated by lattice QCD



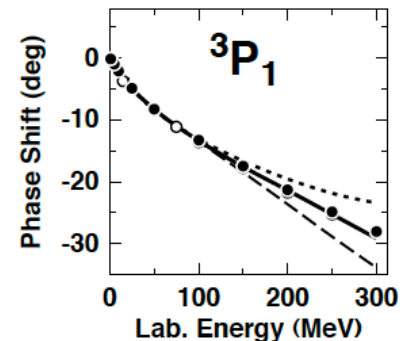
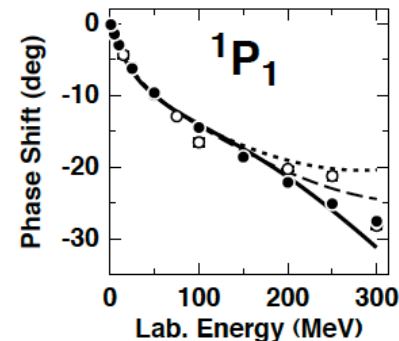
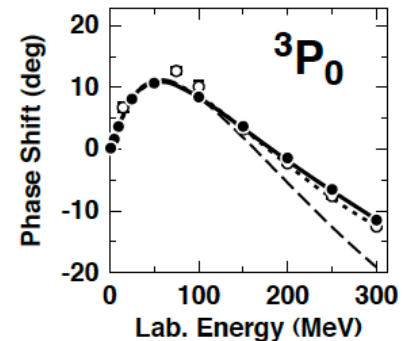
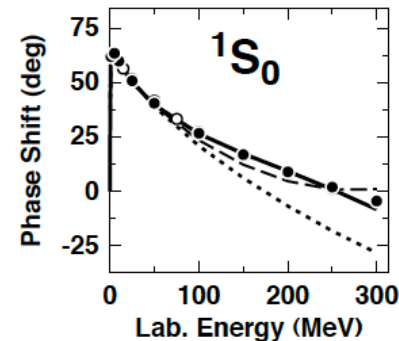
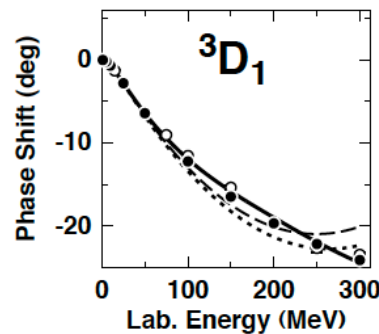
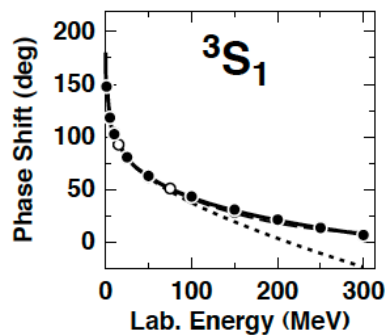
$\Lambda_\chi \sim 1 \text{ GeV}$  :  
Chiral symmetry breaking scale

# The NN interaction from chiral EFT

PHYSICAL REVIEW C **68**, 041001(R) (2003)

## Accurate charge-dependent nucleon-nucleon potential at fourth order of chiral perturbation theory

D. R. Entem<sup>1,2,\*</sup> and R. Machleidt<sup>1,†</sup>



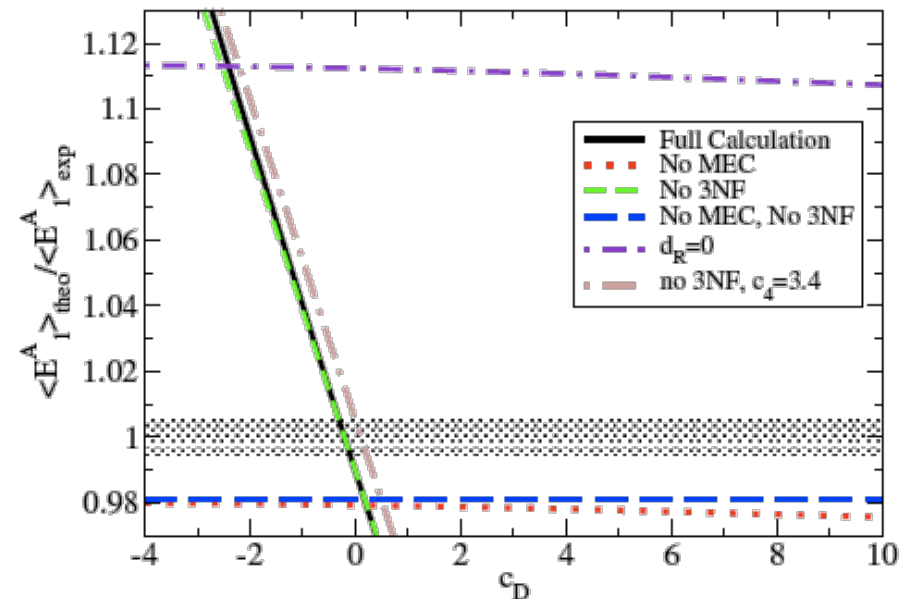
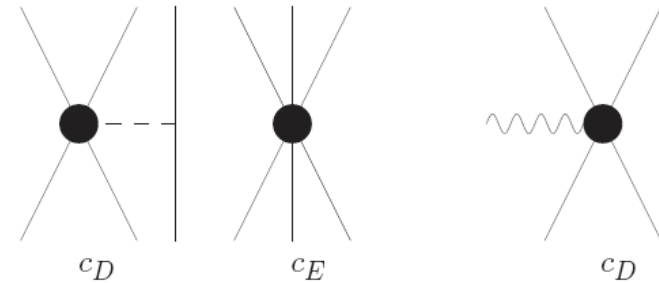
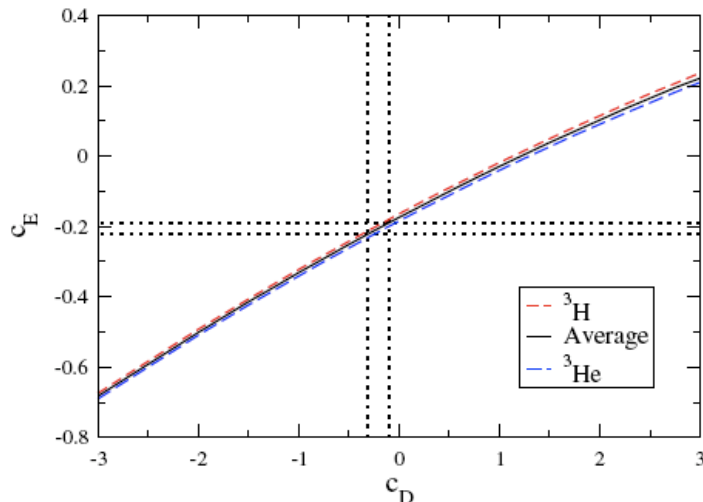
- 24 LECs fitted to the  $np$  scattering data and the deuteron properties
  - Including  $c_i$  LECs ( $i=1-4$ ) from pion-nucleon Lagrangian

# Determination of NNN LECs $c_D$ and $c_E$ from the triton binding energy and the half life

- **Chiral EFT:**  $c_D$  also in the two-nucleon contact vertex with an external probe
- Calculate  $\langle E_1^A \rangle = |\langle {}^3\text{He} || E_1^A || {}^3\text{H} \rangle|$ 
  - Leading order GT
  - N<sup>2</sup>LO: one-pion exchange plus contact

- **A=3 binding energy constraint:**

$$c_D = -0.2 \pm 0.1 \quad c_E = -0.205 \pm 0.015$$



PRL 103, 102502 (2009) PHYSICAL REVIEW LETTERS week ending 4 SEPTEMBER 2009

### Three-Nucleon Low-Energy Constants from the Consistency of Interactions and Currents in Chiral Effective Field Theory

Doron Gazit

Institute for Nuclear Theory, University of Washington, Box 351550, Seattle, Washington 98195, USA

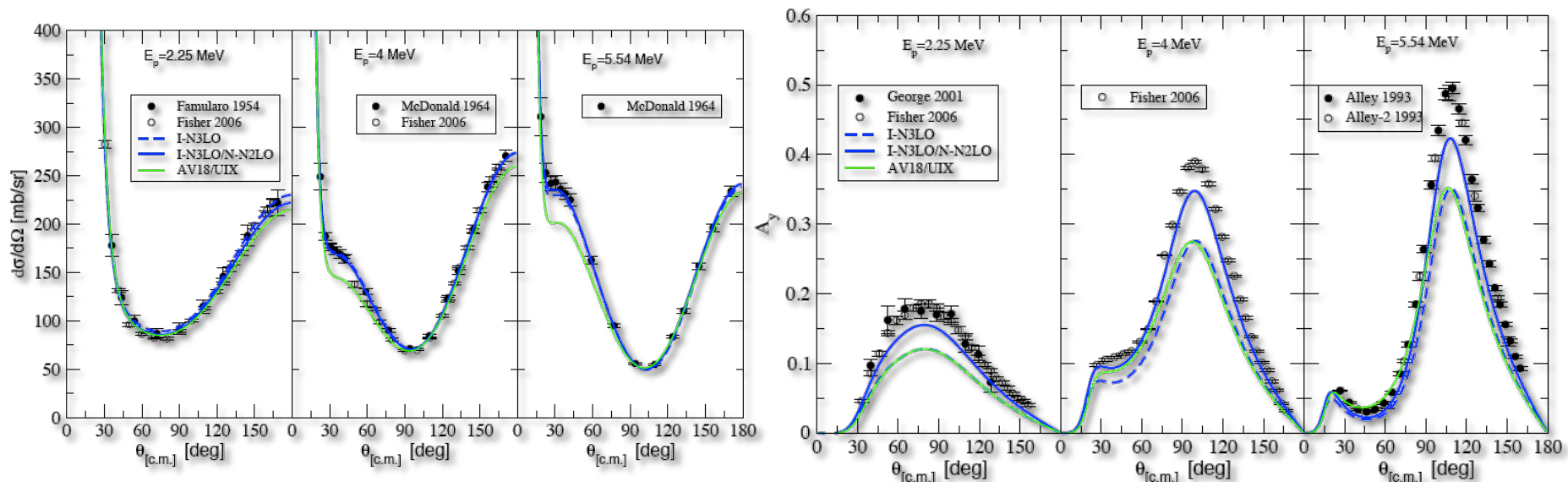
Sofia Quaglioni and Petr Navrátil

Lawrence Livermore National Laboratory, P.O. Box 808, L-414, Livermore, California 94551, USA

# Proton-<sup>3</sup>He elastic scattering with $\chi$ EFT NN+NNN

- Hypherspherical-harmonics variational calculations
  - M. Viviani, L. Girlanda, A. Kievski, L. E. Marcucci, and S. Rosati, arXiv:1004.1306
- **$A_y$  puzzle (almost) resolved with the chiral N<sup>3</sup>LO NN plus local chiral N<sup>2</sup>LO NNN**
  - *used with the NCSM and other methods*

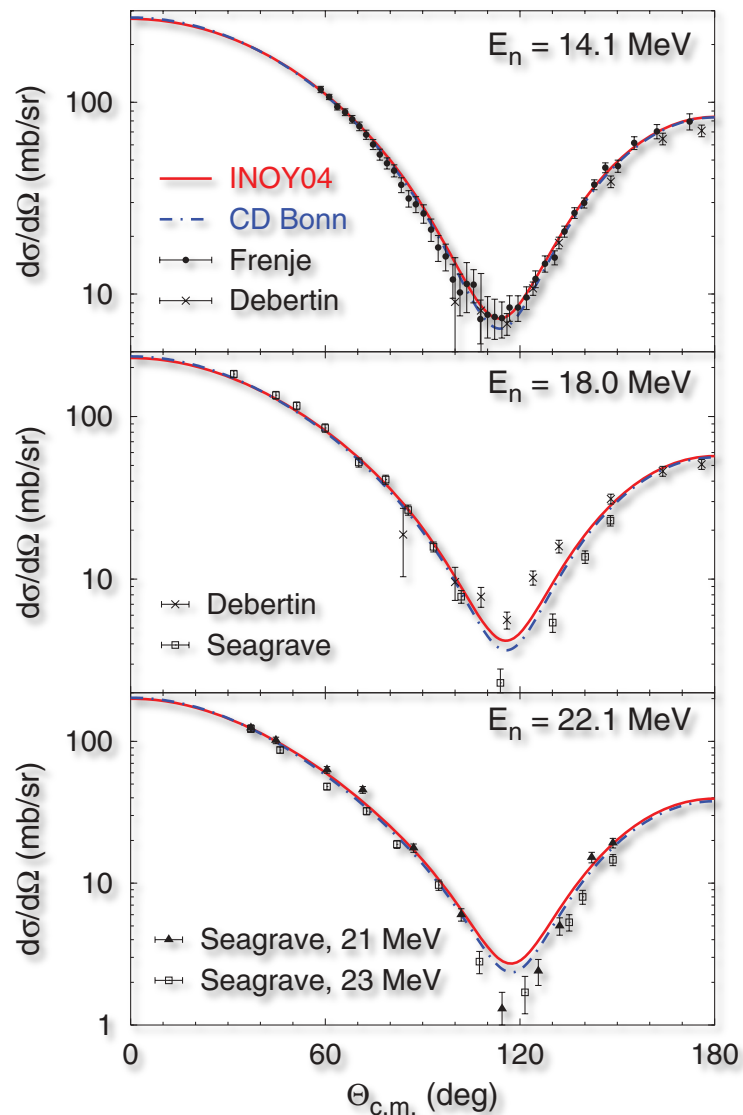
$A=3$  binding energy constraint,  
 $c_D=+1$ ,  $c_E=-0.029$ ,  $\Lambda=500$  MeV



Chiral NN+NNN Hamiltonian provides the best agreement with the cross section and analyzing power data and with the new TUNL PSA analysis

Plenary talk  
by L. E. Marcucci,  
Wednesday 9:00

# Ab initio calculations of $n$ - $^3\text{H}$ scattering



AGS equations

PHYSICAL REVIEW C **86**, 011001(R) (2012)



Neutron- $^3\text{H}$  scattering above the four-nucleon breakup threshold

A. Deltuva and A. C. Fonseca

Plenary talk  
by A. Deltuva,  
Wednesday 9:35

# Quantum Monte Carlo

Variational Monte Carlo (VMC): construct  $\Psi_V$  that

- Are fully **antisymmetric** and **translationally invariant**
- Have **cluster structure** and correct asymptotic form
- Contain non-commuting 2- & 3-body **operator correlations** from  $v_{ij}$  &  $V_{ijk}$
- Are orthogonal for multiple  $J^\pi$  states
- Minimize  $E_V = \langle \Psi_V | H | \Psi_V \rangle \geq E$  integrating by Metropolis Monte Carlo

These are  $\sim 2^A$  ( $\frac{A}{2}$ ) component (270,336 for  $^{12}\text{C}$ ) spin-isospin vectors in  $3A$  dimensions

Green's function Monte Carlo (GFMC): project out the exact eigenfunction

- $\Psi(\tau) = \exp[-(H - E_0)\tau]\Psi_V = \sum_n \exp[-(E_n - E_0)\tau]a_n\Psi_n \Rightarrow \Psi_0$  at large  $\tau$
- Propagation done stochastically in small time slices  $\Delta\tau$
- Exact  $\langle H \rangle$  for local potentials; mixed estimates for other  $\langle O \rangle$
- **Constrained-path propagation** controls fermion sign problem for  $A \geq 8$
- Multiple excited states for same  $J^\pi$  stay orthogonal

Many tests demonstrate 1–2% accuracy for realistic  $\langle H \rangle$

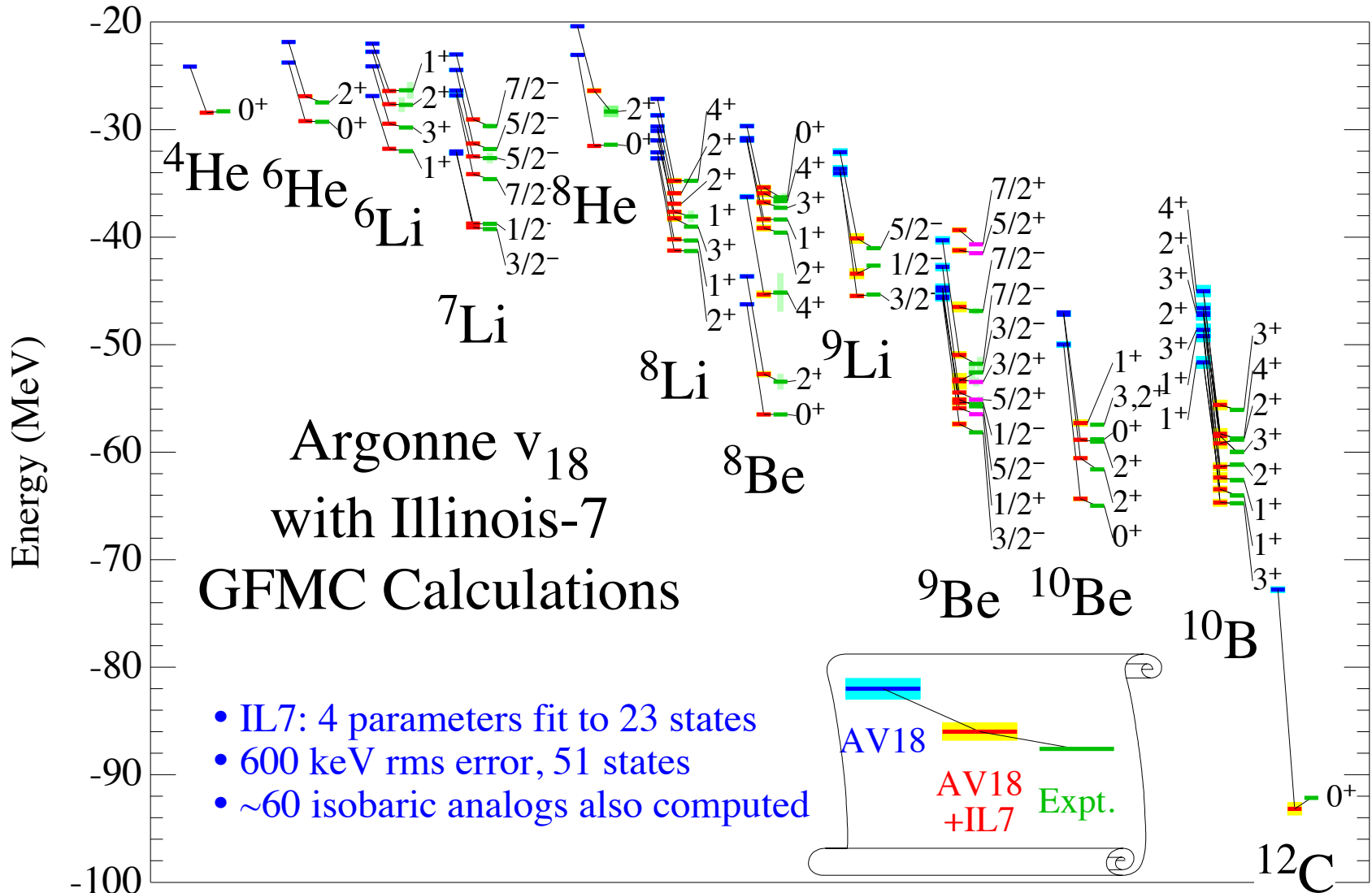
Wiringa, Pieper, Carlson, & Pandharipande, PRC **62**, 014001 (2000)

Pieper, Varga, & Wiringa, PRC **66**, 044310 (2002)

Pieper, Wiringa, & Carlson, PRC **70**, 054325 (2004)

Pieper, NPA **751**, 516c (2005)

# Quantum Monte Carlo: Eigenenergies of light nuclei



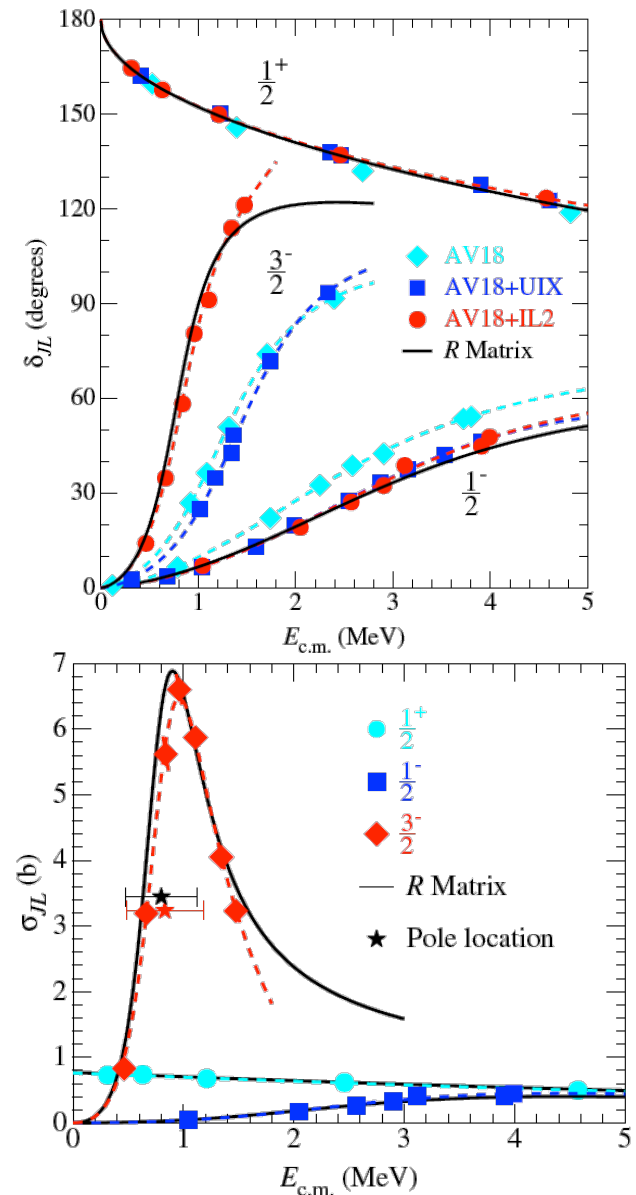
# Quantum Monte Carlo Calculations of Neutron-<sup>4</sup>He Scattering

- GFMC method generalized for scattering
  - Similar to GFMC for bound states
    - Essential difference: **boundary conditions**
- Realistic NN plus NNN interactions
  - Importance of the three-body force for *P*-waves

## Method

- Pick a log derivative  $\chi$  at the boundary ( $R > 7$  fm)
- Starting w.f.: VMC with scattering boundary  $\chi$
- Special method for propagation to preserve  $\chi$
- Finds  $E(R, \chi)$
- Repeat for many  $\chi$  until  $\delta(E)$  is mapped out

K. Nollett et al.,  
PRL99, 022502 (2007)



# Nuclear Lattice Effective Field Theory Calculations

E. Epelbaum, H. Krebs, T. Lahde, D. Lee, U.-G. Meißner

Discretized version of  
chiral EFT for nuclear  
dynamics

$$\left[ \left( \sum_{i=1}^A \frac{-\vec{\nabla}_i^2}{2m_N} + \mathcal{O}(m_N^{-3}) \right) + \underbrace{V_{2N} + V_{3N} + V_{4N} + \dots}_{\text{derivable within ChPT}} \right] |\Psi\rangle = E|\Psi\rangle$$

Borasoy, E.E., Krebs, Lee, Meißner, Eur. Phys. J. A31 (07) 105,

Eur. Phys. J. A34 (07) 185,

Eur. Phys. J. A35 (08) 343,

Eur. Phys. J. A35 (08) 357,

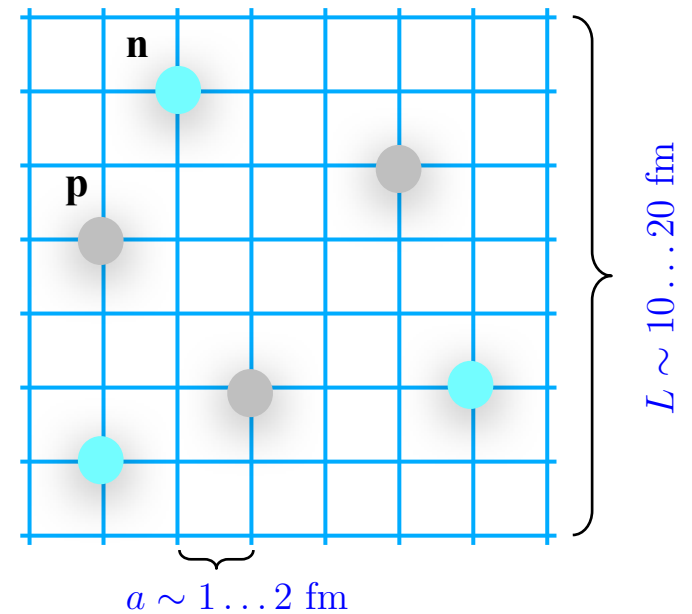
E.E., Krebs, Lee, Meißner, Eur. Phys. J. A40 (09) 199,

Eur. Phys. J. A41 (09) 125,

Phys. Rev. Lett 104 (10) 142501,

Eur. Phys. J. 45 (10) 335,

Phys. Rev. Lett. 106 (11) 192501

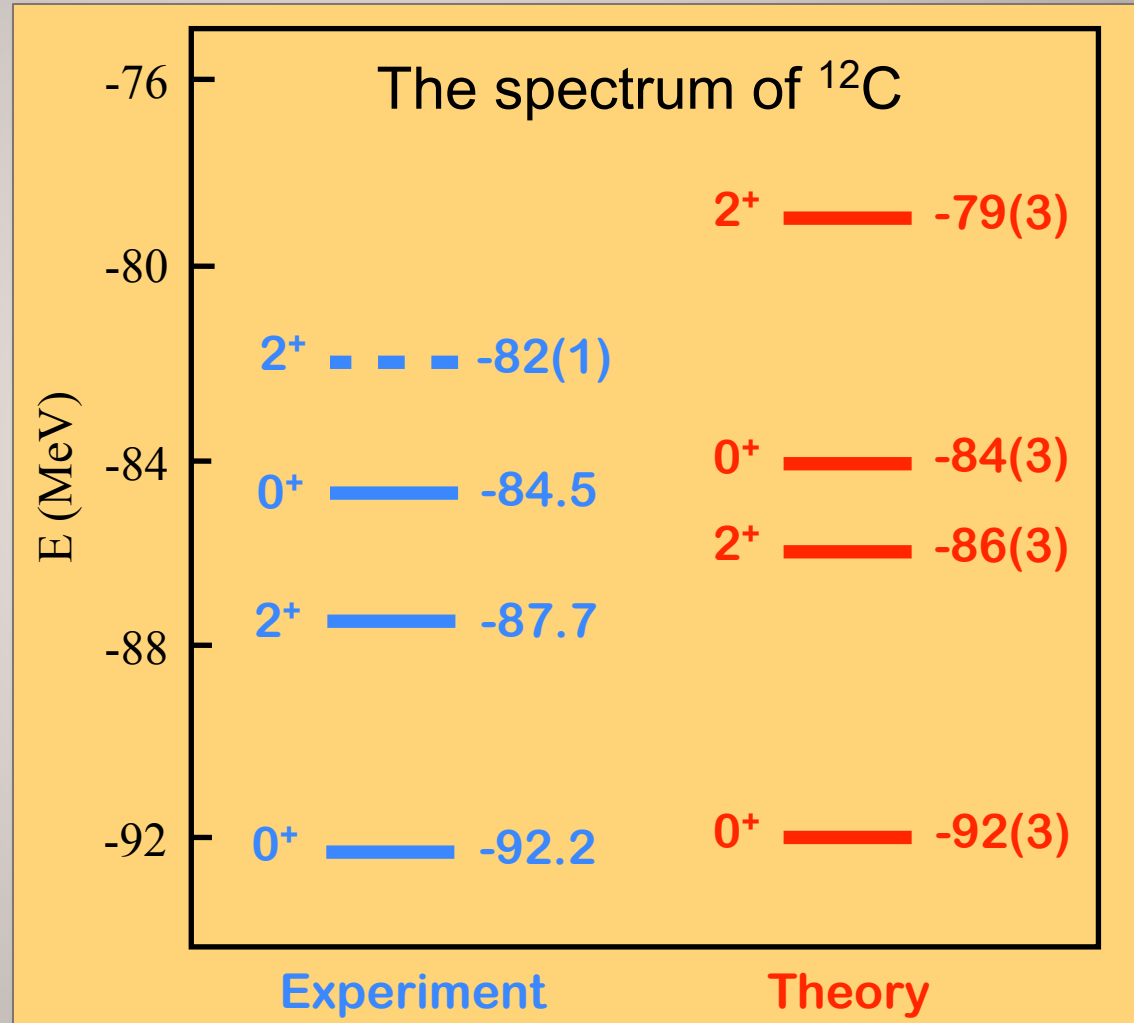


# Nuclear Lattice Effective Field Theory Calculations

E. Epelbaum, H. Krebs, T. Lahde, D. Lee, U.-G. Meissner

The Hoyle state

Plenary talk  
by Evgeny Epelbaum,  
Tuesday 11:15



# Coupled-Cluster Method

- **exponential Ansatz** for wave operator

$$|\Psi\rangle = \hat{\Omega}|\Phi_0\rangle = e^{\hat{T}_1 + \hat{T}_2 + \hat{T}_3 + \dots + \hat{T}_A} |\Phi_0\rangle$$

- $\hat{T}_n$  : **nph excitation** ("cluster") operators

$$\hat{T}_n = \frac{1}{(n!)^2} \sum_{\substack{ijk\dots \\ abc\dots}} t_{ijk\dots}^{abc\dots} \{ \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_c^\dagger \dots \hat{a}_k \hat{a}_j \hat{a}_i \}$$

- **similarity transformed** Schrödinger Eq.

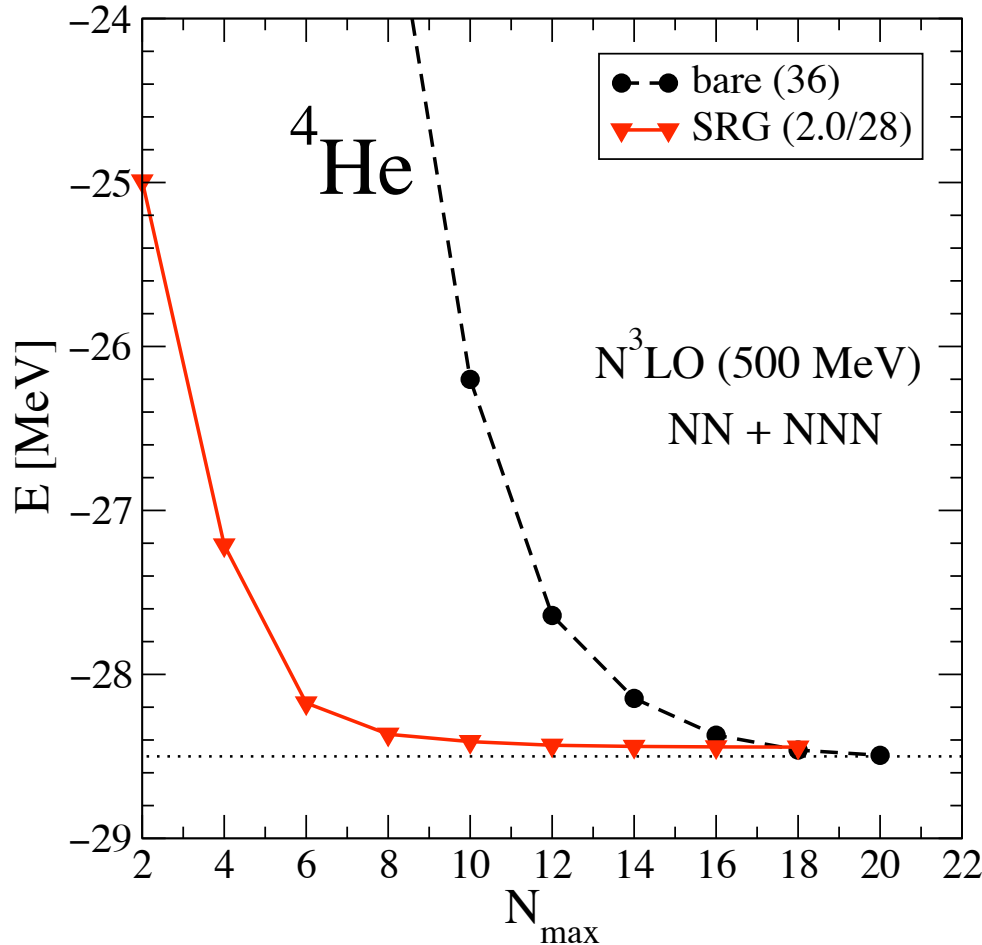
$$\hat{\mathcal{H}}|\Phi_0\rangle = \Delta E|\Phi_0\rangle, \quad \hat{\mathcal{H}} \equiv e^{-\hat{T}} \hat{H}_N e^{\hat{T}}$$

- $\hat{\mathcal{H}}$  : non-Hermitian **effective Hamiltonian**

- **CCSD** : truncate  $\hat{T}$  at **2p2h** level,  $\hat{T} = \hat{T}_1 + \hat{T}_2$

State-of-the-art:  $\Lambda$ -CCSD(T) with 3N interaction

# Calculations with chiral 3N: SRG renormalization needed



## Chiral $N^3\text{LO}$ NN plus $N^2\text{LO}$ NNN potential

- Bare interaction (black line)
  - Strong short-range correlations
    - Large basis needed
- SRG evolved effective interaction (red line)
  - Unitary transformation

$$H_\alpha = U_\alpha H U_\alpha^\dagger \Rightarrow \frac{dH_\alpha}{d\alpha} = [[T, H_\alpha], H_\alpha] \quad (\alpha = 1/\lambda^4)$$

- Two- plus *three*-body components, *four*-body omitted
- Softens the interaction
  - Smaller basis sufficient

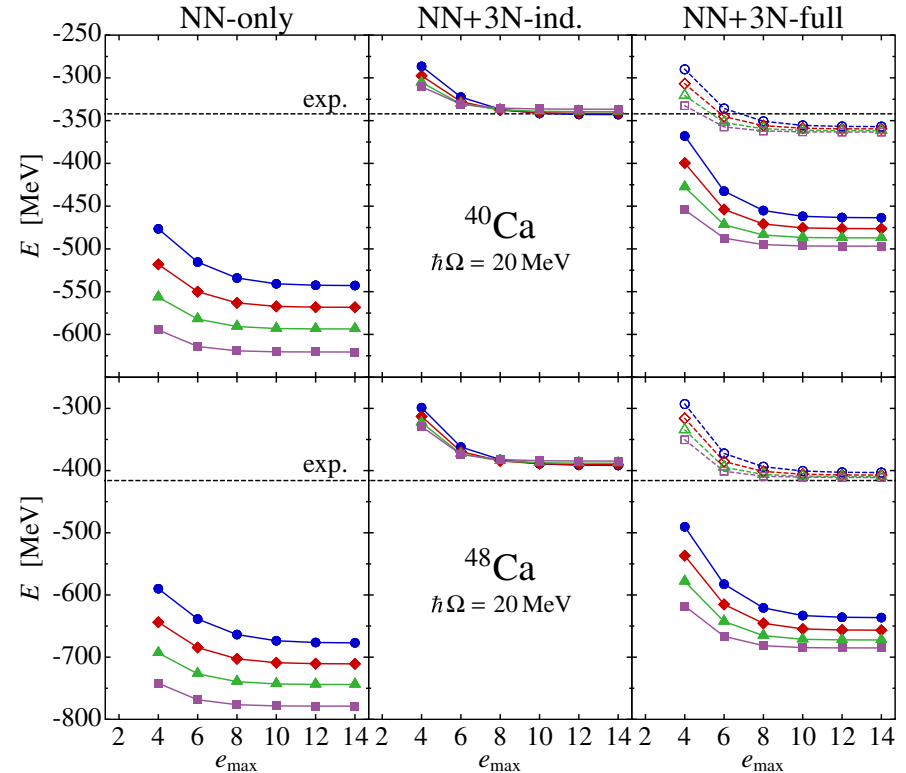
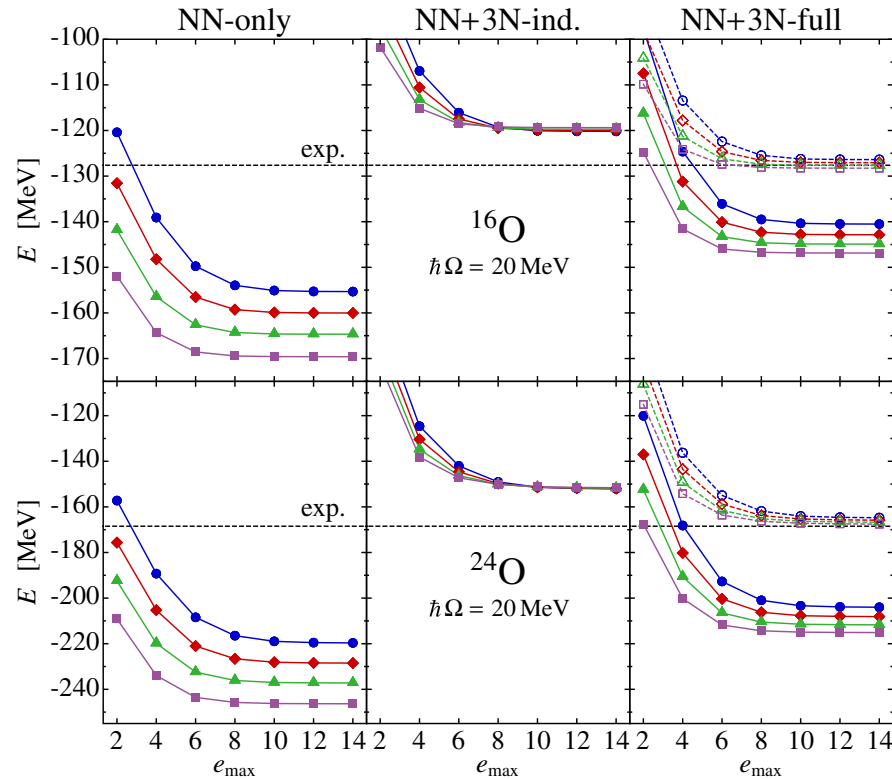
PRL 103, 082501 (2009) PHYSICAL REVIEW LETTERS week ending 21 AUGUST 2009

Evolution of Nuclear Many-Body Forces with the Similarity Renormalization Group

E. D. Jurgenson,<sup>1</sup> P. Navrátil,<sup>2</sup> and R. J. Furnstahl<sup>1</sup>

$A=3$  binding energy and half life constraint  
 $c_D = -0.2$ ,  $c_E = -0.205$ ,  $\Lambda = 500$  MeV

# CCSD calculations with SRG evolved interactions



●  $\alpha = 0.04 \text{ fm}^4$      ◆  $\alpha = 0.05 \text{ fm}^4$      ▲  $\alpha = 0.0625 \text{ fm}^4$      ■  $\alpha = 0.08 \text{ fm}^4$   
 $\Lambda = 2.24 \text{ fm}^{-1}$       $\Lambda = 2.11 \text{ fm}^{-1}$       $\Lambda = 2.00 \text{ fm}^{-1}$       $\Lambda = 1.88 \text{ fm}^{-1}$

PRL 109, 052501 (2012)

PHYSICAL REVIEW LETTERS

week ending  
3 AUGUST 2012

Medium-Mass Nuclei with Normal-Ordered Chiral  $NN+3N$  Interactions

Robert Roth,<sup>1,\*</sup> Sven Binder,<sup>1</sup> Klaus Vobig,<sup>1</sup> Angelo Calci,<sup>1</sup> Joachim Langhammer,<sup>1</sup> and Petr Navrátil<sup>2</sup>

- Significant 3N induced interaction
- 4N induced interaction when chiral 3N(500) included
- No 4N induced interaction when chiral 3N(400) included (dotted lines)

Plenary talk  
by Robert Roth,  
Friday 9:00

# Photo-disintegration reactions

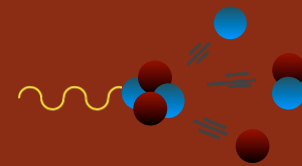
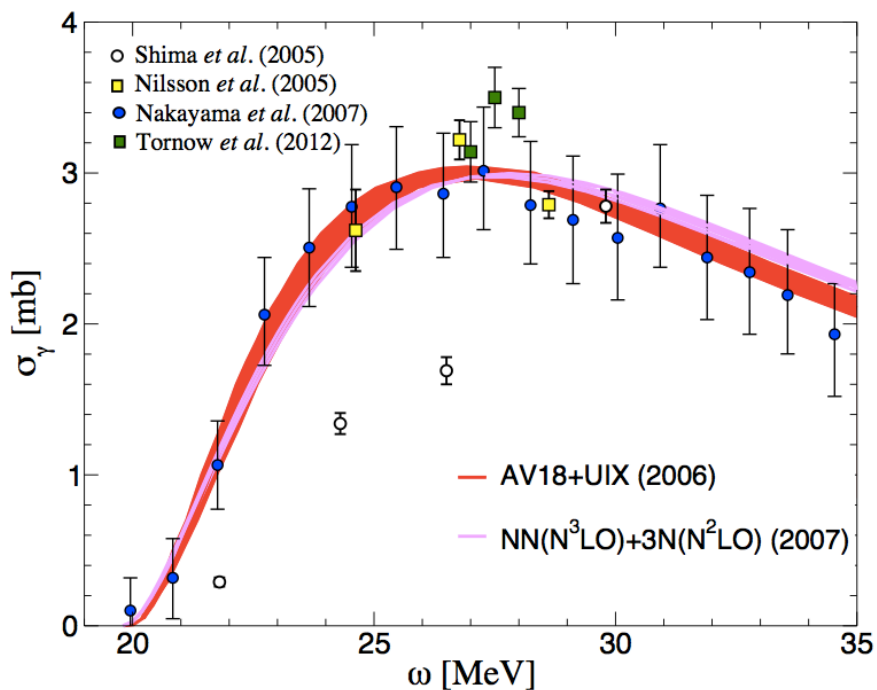


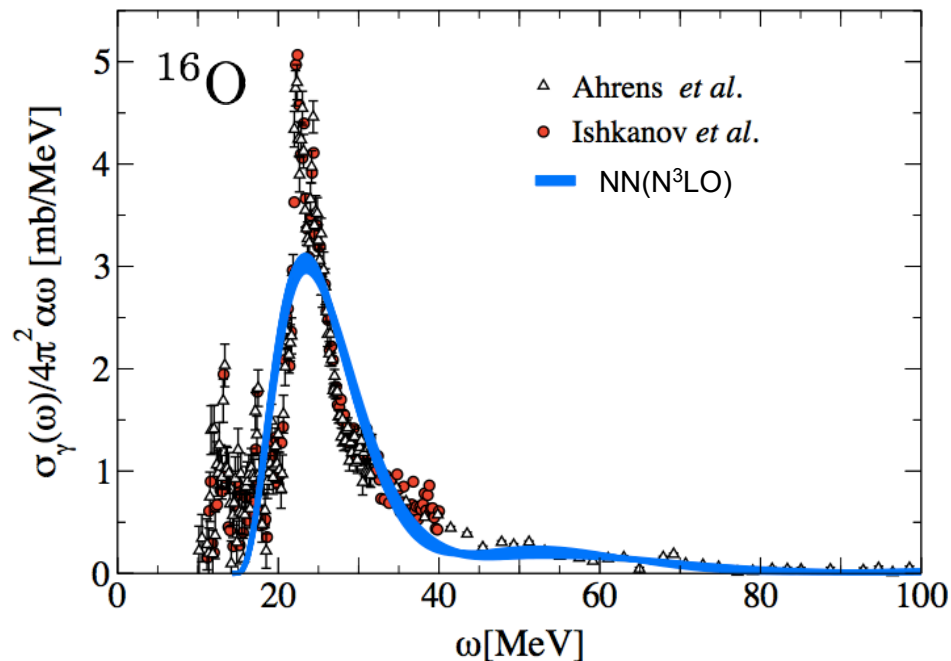
Photo-disintegration reactions can break the nucleus into many different clusters: two-body clusters, three-body clusters, ...,  $A$ -nucleons  $\Rightarrow$  terribly complicated many-body continuum state

*Ab initio* approach: Lorentz Integral Transform Method  $\Rightarrow$  reduces the continuum problem to the solution of a **bound-state equation** which can be solved with any good bound-state technique



Hyperspherical Harmonics: Gazit, *et al.* PRL 96 112301 (2006)

NCSM: Quaglioni and Navratil PLB 652 (2007)



Coupled-Cluster Theory: Bacca *et al.*,  
[arXiv:1303.7446](https://arxiv.org/abs/1303.7446), to appear in PRL

Talk by G. Orlandini,  
 B3, Tuesday 14:30

Elastic scattering of a nucleon on a target nucleus can be computed from the one-nucleon overlap function.

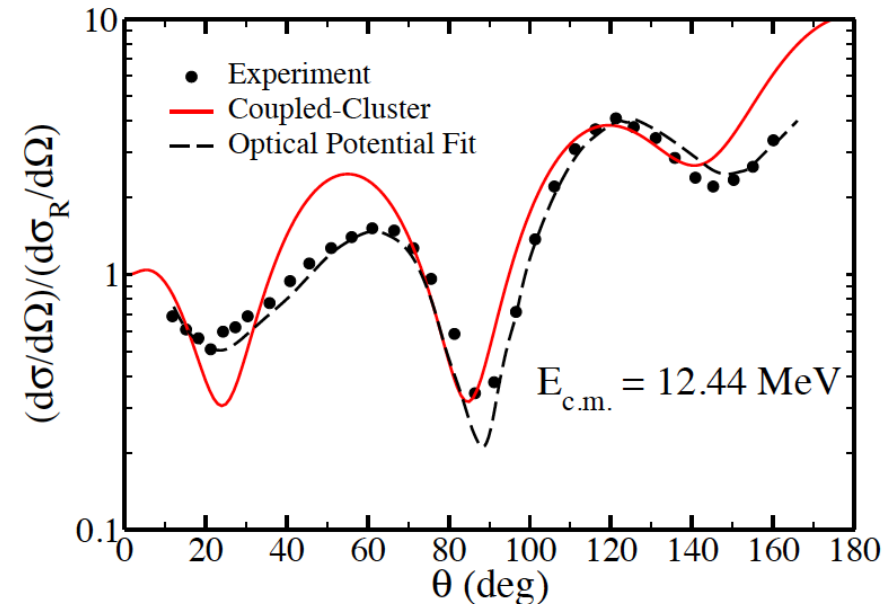
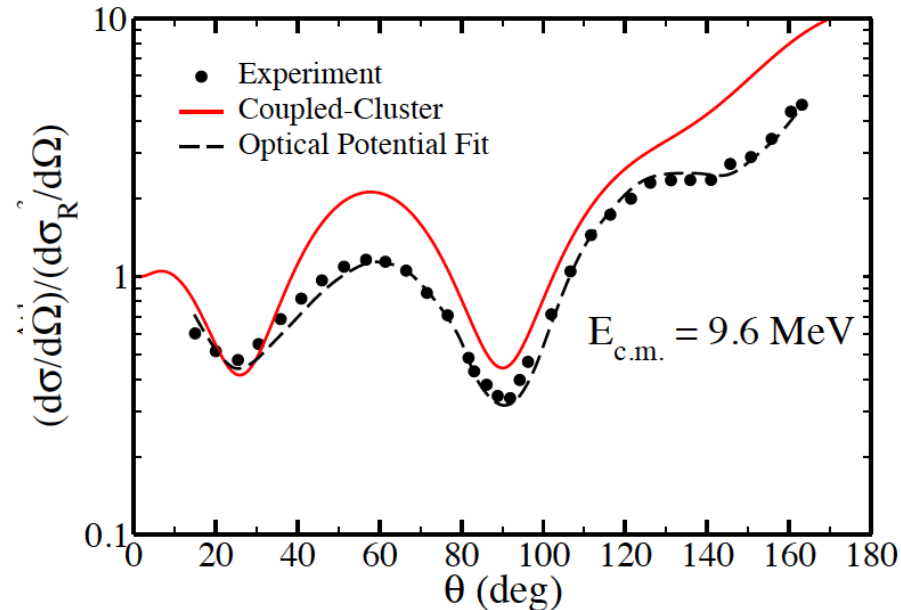
Using coupled-cluster theory to compute overlap functions we obtained cross sections at low-energy for elastic proton scattering on  $^{40}\text{Ca}$  in fair agreement with experiment.

$$O_A^{A+1}(lj; kr) = \int_n \langle A+1 \parallel \tilde{a}_{nlj}^\dagger \parallel A \rangle \phi_{nlj}(r).$$

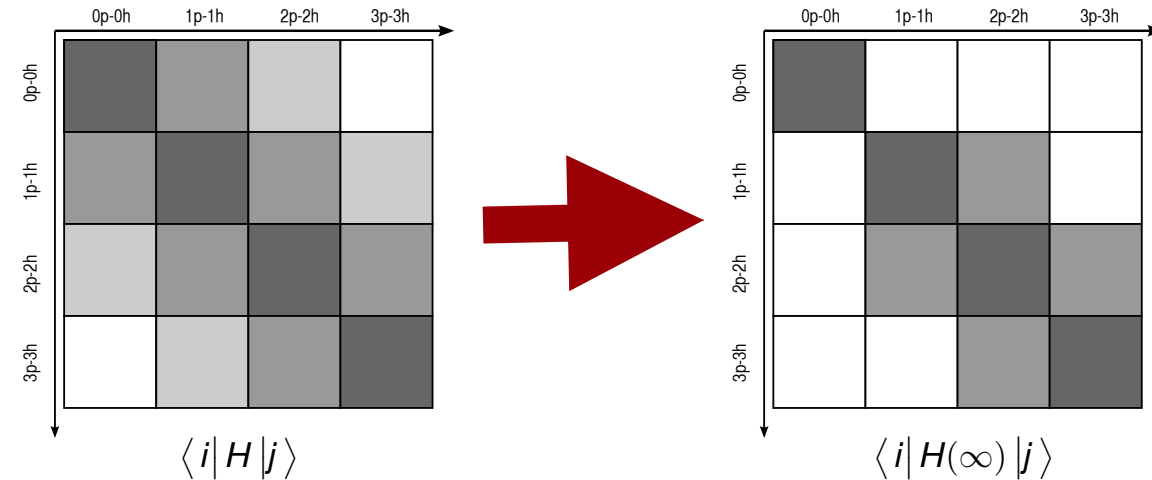
Beyond the range of the potential they are given by:

$$O_A^{A+1}(lj; kr) = C_{lj} \frac{W_{-\eta, l+1/2}(kr)}{r}, \quad k = i\kappa$$

$$O_A^{A+1}(lj; kr) = C_{lj} [F_{\ell, \eta}(kr) - \tan \delta_l(k) G_{\ell, \eta}(kr)]$$

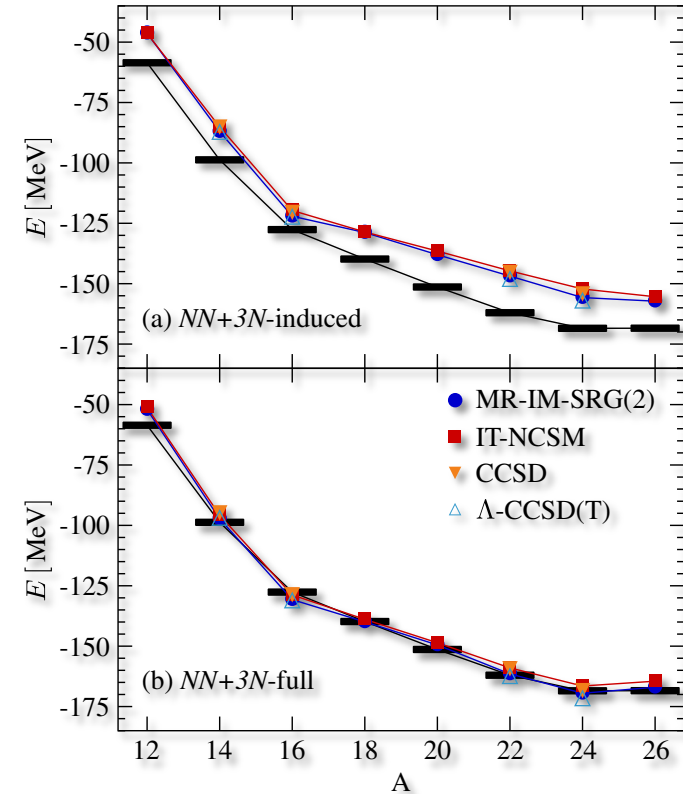


# In-medium SRG approach: Application to Oxygen isotopes



**aim:** decouple reference state (0p-0h) from excitations

$$\frac{d}{ds} H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds} U^\dagger(s) = -\eta^\dagger(s)$$



- Wegner

$$\eta^l = [H^d, H^{od}]$$

- White (J. Chem. Phys. 117, 7472)

$$\eta^{ll} = \sum_{ph} \frac{f_h^p}{E_p - E_h} : A_h^p : + \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{E_{pp'} - E_{hh'}} : A_{hh'}^{pp'} : + \text{H.c.}$$

$E_p - E_h, E_{pp'} - E_{hh'}$  : approx. 1p1h, 2p2h excitation energies

Plenary talk  
by Robert Roth,  
Friday 9:00

*Ab Initio* Calculations of Even Oxygen Isotopes with Chiral Two-Plus-Three-Nucleon Interactions

H. Hergert,<sup>1,\*</sup> S. Binder,<sup>2</sup> A. Calci,<sup>2</sup> J. Langhammer,<sup>2</sup> and R. Roth<sup>2</sup>

Magic, semi-magic and open-shell nuclei

# Oxygen, Fluorine, Nitrogen isotopes: Self-Consistent Green's Function Method

Magic and semi-magic nuclei

$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{\omega - \varepsilon_n^{A+1} + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{\omega - \varepsilon_k^{A-1} - i\eta},$$

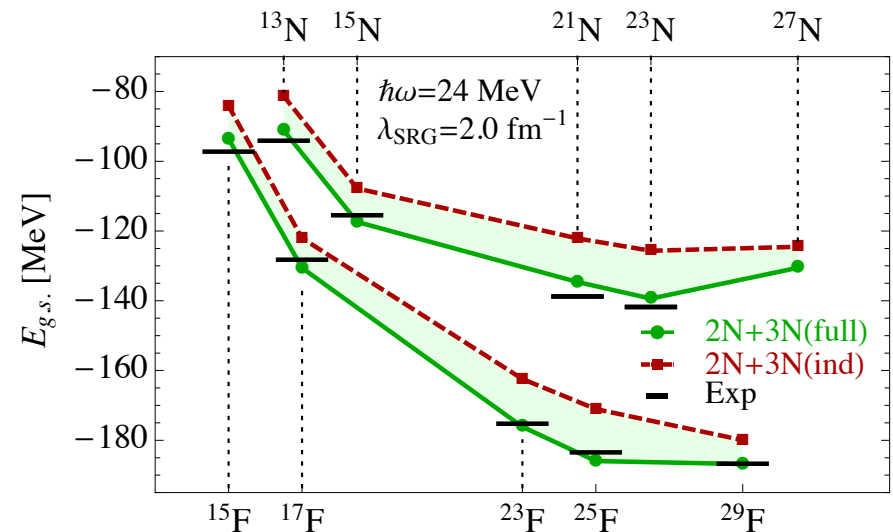
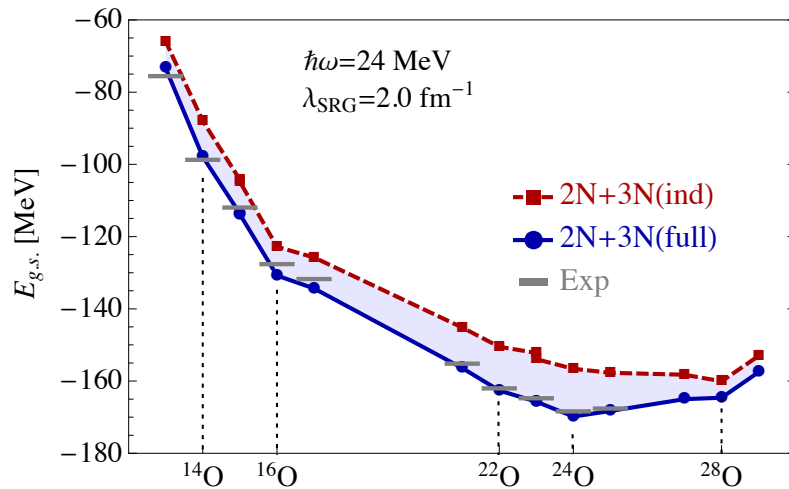
PRL 111, 062501 (2013)

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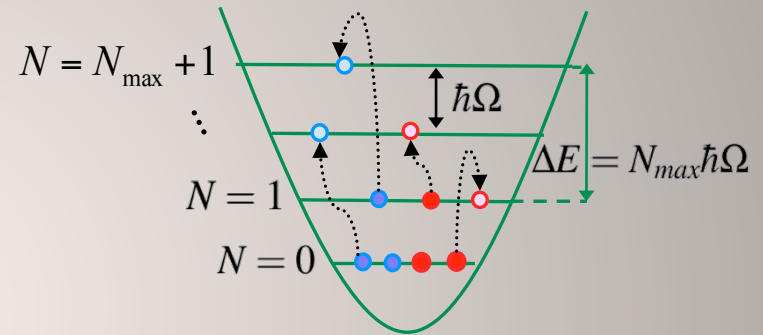
Isotopic Chains Around Oxygen from Evolved Chiral Two- and Three-Nucleon Interactions

A. Cipollone,<sup>1</sup> C. Barbieri,<sup>1,\*</sup> and P. Navrátil<sup>2</sup>



# The *ab initio* no-core shell model (NCSM)

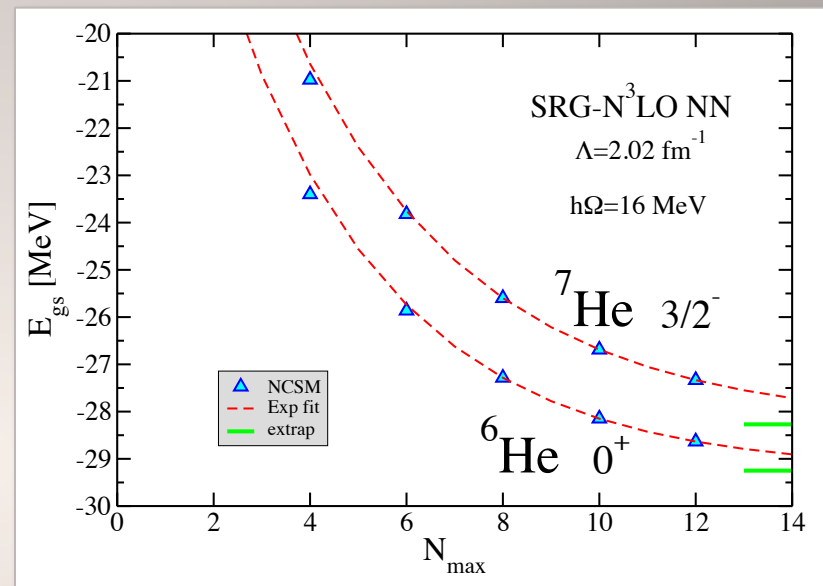
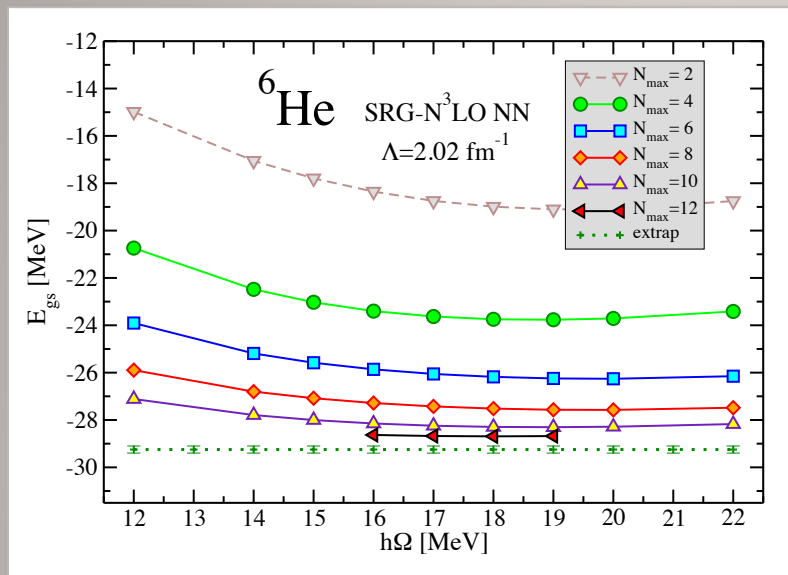
- The NCSM is a technique for the solution of the  $A$ -nucleon bound-state problem
- Realistic nuclear Hamiltonian
  - High-precision nucleon-nucleon potentials
  - Three-nucleon interactions
- Finite harmonic oscillator (HO) basis
  - $A$ -nucleon HO basis states
  - complete  $N_{\max} \hbar\Omega$  model space
- **Effective interaction tailored to model-space truncation** for NN(+NNN) potentials
  - Okubo-Lee-Suzuki unitary transformation
- Or a **sequence of unitary transformations in momentum space**:
  - Similarity-Renormalization-Group (SRG) evolved NN(+NNN) potential



$$\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^A$$

**Convergence to exact solution with increasing  $N_{\max}$  for bound states. No coupling to continuum.**

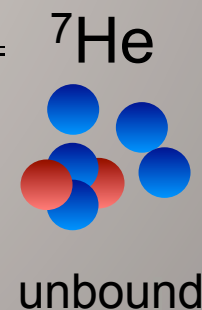
# NCSM calculations of ${}^6\text{He}$ and ${}^7\text{He}$ g.s. energies



$E_{g.s.}$ [MeV]	${}^4\text{He}$	${}^6\text{He}$	${}^7\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63	-27.33
NCSM extrap.	-28.22(1)	-29.25(15)	-28.27(25)
Expt.	-28.30	-29.27	-28.84

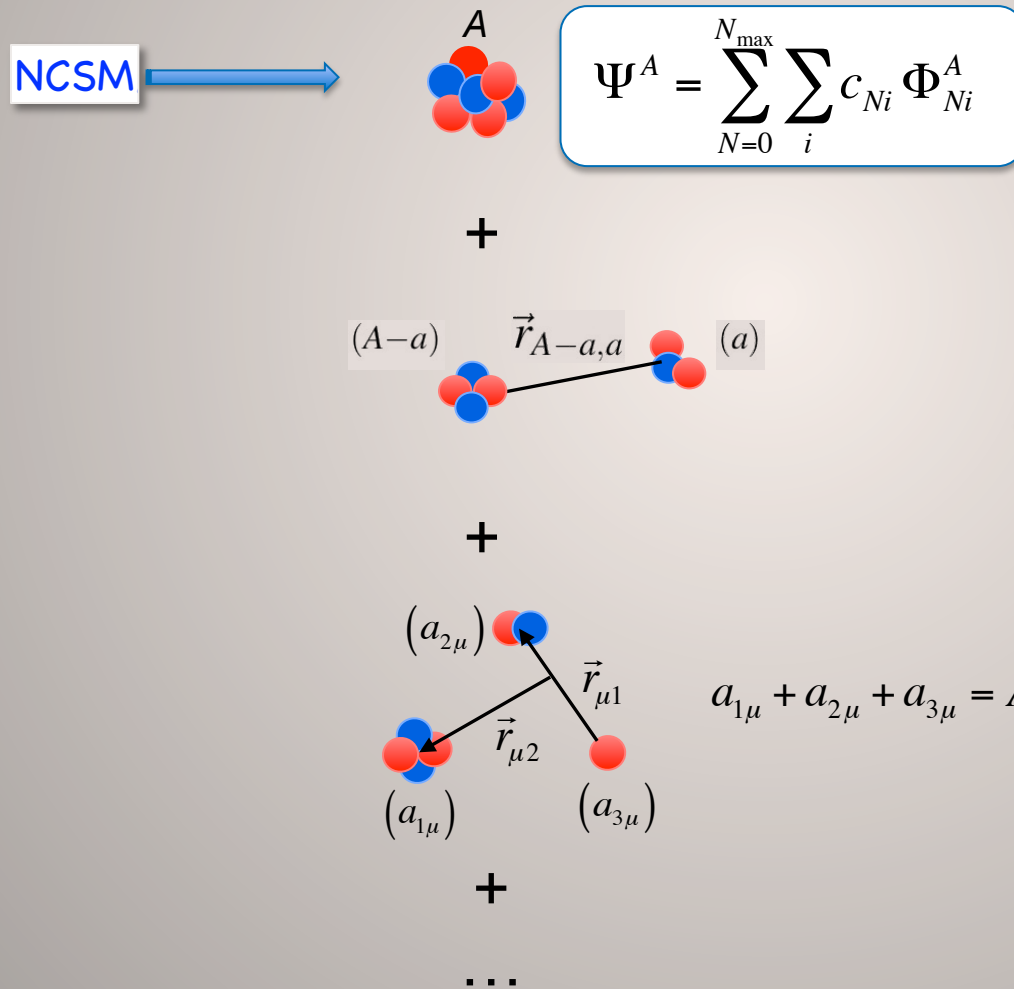
- ✓  $N_{\text{max}}$  convergence OK
- ✓ Extrapolation feasible

- ${}^6\text{He}$ :  $E_{\text{gs}} = -29.25(15) \text{ MeV}$  (Expt.  $-29.269 \text{ MeV}$ )
- ${}^7\text{He}$ :  $E_{\text{gs}} = -28.27(25) \text{ MeV}$  (Expt.  $-28.84(30) \text{ MeV}$ )
- ${}^7\text{He}$  unbound ( $+0.430(3) \text{ MeV}$ , width  $0.182(5) \text{ MeV}$ )
  - **NCSM: no information about the width**



# Extending no-core shell model beyond bound states

Include more many nucleon correlations...



...using the Resonating Group Method (RGM) ideas

# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \phi_{1\kappa} \end{array} \\
 & + \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \quad \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa}(\{\vec{\xi}_{1\kappa}\}) \longrightarrow (a_{1\kappa} = A) \phi_{1\kappa} \\
 & + \sum_v \hat{A}_v \phi_{1v}(\{\vec{\xi}_{1v}\}) \phi_{2v}(\{\vec{\xi}_{2v}\}) g_v(\vec{r}_v) \longrightarrow \begin{array}{c} \phi_{1v} \quad \vec{r}_v \quad \phi_{2v} \\ (a_{1v}) \quad (a_{2v}) \\ a_{1v} + a_{2v} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu}(\{\vec{\xi}_{1\mu}\}) \phi_{2\mu}(\{\vec{\xi}_{2\mu}\}) \phi_{3\mu}(\{\vec{\xi}_{3\mu}\}) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \\ \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

- $\phi$ : antisymmetric cluster wave functions

- $\{\xi\}$ : Translationally invariant internal coordinates

(Jacobi relative coordinates)

- These are known, they are an input

# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \phi_{1\kappa} \end{array} \\
 & + \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{R}_{\mu 1}, \vec{R}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \\ \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

- $\hat{A}_{\nu}, \hat{A}_{\mu}$ : intercluster antisymmetrizers

- Antisymmetrize the wave function for exchanges of nucleons between clusters

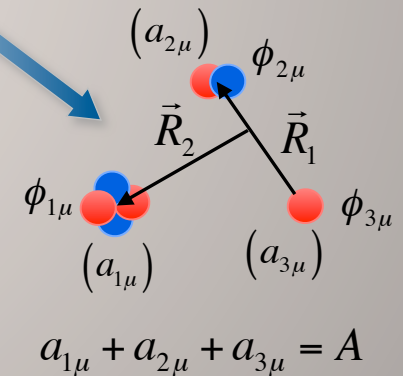
- Example:

$$a_{1\nu} = A - 1, \quad a_{2\nu} = 1 \quad \Rightarrow \quad \hat{A}_{\nu} = \frac{1}{\sqrt{A}} \left[ 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right]$$

# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \quad \longrightarrow \quad (a_{1\kappa} = A) \quad \phi_{1\kappa} \\
 & + \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[ \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \quad \longrightarrow \quad a_{1\nu} + a_{2\nu} = A \\
 & + \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[ \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2 \\
 & + \dots
 \end{aligned}$$

- $c$ ,  $g$  and  $G$ : discrete and continuous linear variational amplitudes
  - Unknowns to be determined

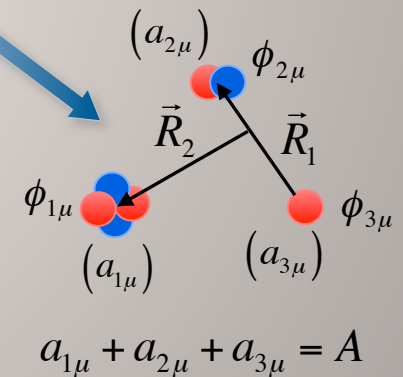


# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \quad \longrightarrow \quad (a_{1\kappa} = A) \quad \phi_{1\kappa} \\
 & + \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[ \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \quad \longrightarrow \quad a_{1\nu} + a_{2\nu} = A \\
 & + \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[ \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2 \\
 & + \dots
 \end{aligned}$$

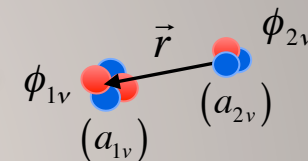
- Discrete and continuous set of basis functions

- Non-orthogonal
- Over-complete



# Binary cluster wave function

$$\psi^{(A)} = \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right)$$

$$+ \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[ \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \longrightarrow \begin{array}{c} a_{1\nu} + a_{2\nu} = A \\ \phi_{1\nu} \quad \phi_{2\nu} \\ \begin{array}{cc} \bullet & \bullet \\ (a_{1\nu}) & (a_{2\nu}) \end{array} \end{array}$$


$$+ \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[ \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2$$

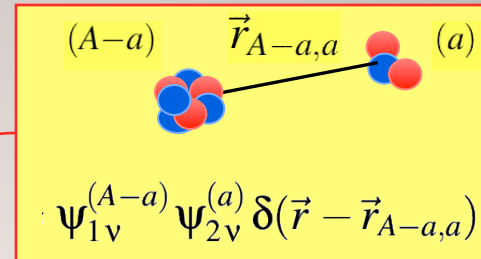
+ ...

- In practice: function space limited by using relatively simple forms of  $\Psi$  chosen according to physical intuition and energetical arguments
  - Most common: expansion over binary-cluster basis

# The *ab initio* NCSM/RGM in a snapshot

- Ansatz:

$$\Psi^{(A)} = \sum_{\mathbf{v}} \int d\vec{r} \phi_{\mathbf{v}}(\vec{r}) \hat{\mathcal{A}} \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)}$$



eigenstates of  $H_{(A-a)}$  and  $H_{(a)}$  in the *ab initio* NCSM basis

- Many-body Schrödinger equation:

$$H\Psi^{(A)} = E\Psi^{(A)}$$

$$T_{\text{rel}}(r) + \mathcal{V}_{\text{rel}} + \bar{V}_{\text{Coul}}(r) + H_{(A-a)} + H_{(a)}$$

$$\sum_{\mathbf{v}} \int d\vec{r} \left[ \mathcal{H}_{\mu\mathbf{v}}^{(A-a,a)}(\vec{r}', \vec{r}) - E \mathcal{N}_{\mu\mathbf{v}}^{(A-a,a)}(\vec{r}', \vec{r}) \right] \phi_{\mathbf{v}}(\vec{r}) = 0$$

$$\langle \Phi_{\mu\vec{r}'}^{(A-a,a)} | \hat{\mathcal{A}} H \hat{\mathcal{A}} | \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)} \rangle$$

**Hamiltonian kernel**

$$\langle \Phi_{\mu\vec{r}'}^{(A-a,a)} | \hat{\mathcal{A}}^2 | \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)} \rangle$$

**Norm kernel**

realistic nuclear Hamiltonian

# Norm kernel (Pauli principle)

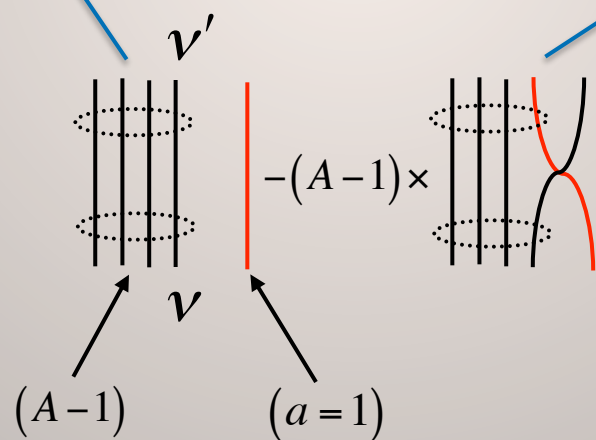
Single-nucleon projectile

$$\langle \Phi_{v'r'}^{J\pi T} | \hat{A}_{v'} \hat{A}_v | \Phi_{vr}^{J\pi T} \rangle = \left\langle \begin{array}{c} (A-1) \\ \text{red, blue, blue} \\ \text{red} \\ r' \quad (a'=1) \end{array} \middle| 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \middle| \begin{array}{c} (A-1) \\ \text{red, blue, blue} \\ \text{red} \\ r \quad (a=1) \end{array} \right\rangle$$

$$N_{v'v}^{J\pi T}(r', r) = \underbrace{\delta_{v'v} \frac{\delta(r' - r)}{r'r}}_{\text{Direct term}} - (A-1) \sum_{n'n} R_{n'\ell'}(r') R_{n\ell}(r) \underbrace{\langle \Phi_{v'n'}^{J\pi T} | \hat{P}_{A-1,A} | \Phi_{vn}^{J\pi T} \rangle}_{\text{Exchange term}}$$

$$\text{SD} \langle \psi_{\mu_1}^{(A-1)} | a^+ a | \psi_{\nu_1}^{(A-1)} \rangle_{\text{SD}}$$

Direct term:  
Treated exactly!  
(in the full space)

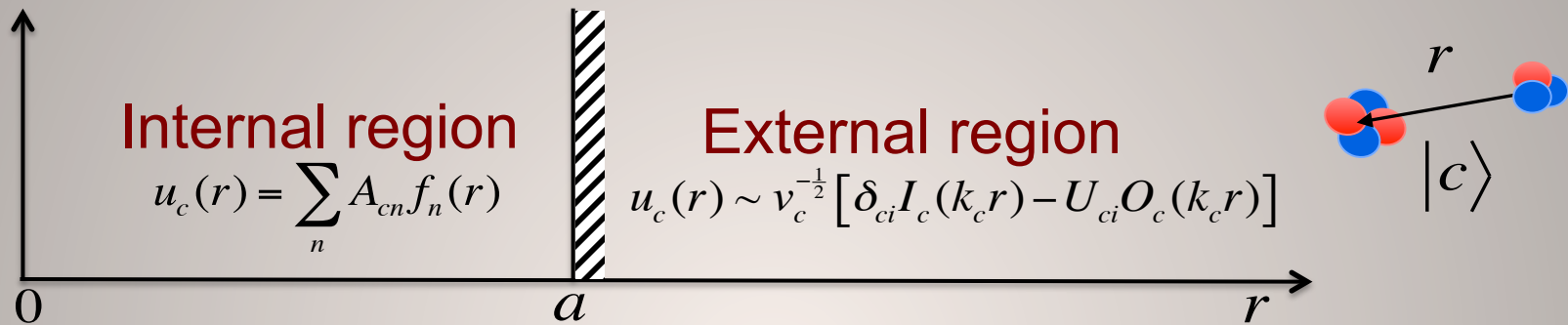


Exchange term:  
Obtained in the model space!  
(Many-body correction due to  
the exchange part of the inter-  
cluster antisymmetrizer )

$$\frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} = \sum_n R_{n\ell}(r) R_{n\ell}(r_{A-a,a})$$

# Microscopic $R$ -matrix on a Lagrange mesh

Separation into “internal” and “external” regions at the channel radius  $a$



- This is achieved through the Bloch operator:  $L_c = \frac{\hbar^2}{2\mu_c} \delta(r - a) \left( \frac{d}{dr} - \frac{B_c}{r} \right)$
- System of Bloch-Schrödinger equations:

$$\left[ \hat{T}_{rel}(r) + L_c + \bar{V}_{Coul}(r) - (E - E_c) \right] u_c(r) + \sum_{c'} \int dr' r' W_{cc'}(r, r') u_{c'}(r') = L_c u_c(r)$$

$$u_c(r) = \sum_n A_{cn} f_n(r)$$

- Internal region: expansion on square-integrable Lagrange mesh basis
- External region: asymptotic form for large  $r$

$$u_c(r) \sim C_c W(k_c r) \quad \text{or} \quad u_c(r) \sim v_c^{-1/2} \left[ \delta_{ci} I_c(k_c r) - U_{ci} O_c(k_c r) \right]$$

Bound state

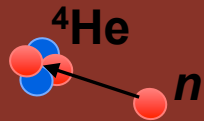
Scattering state

Scattering matrix

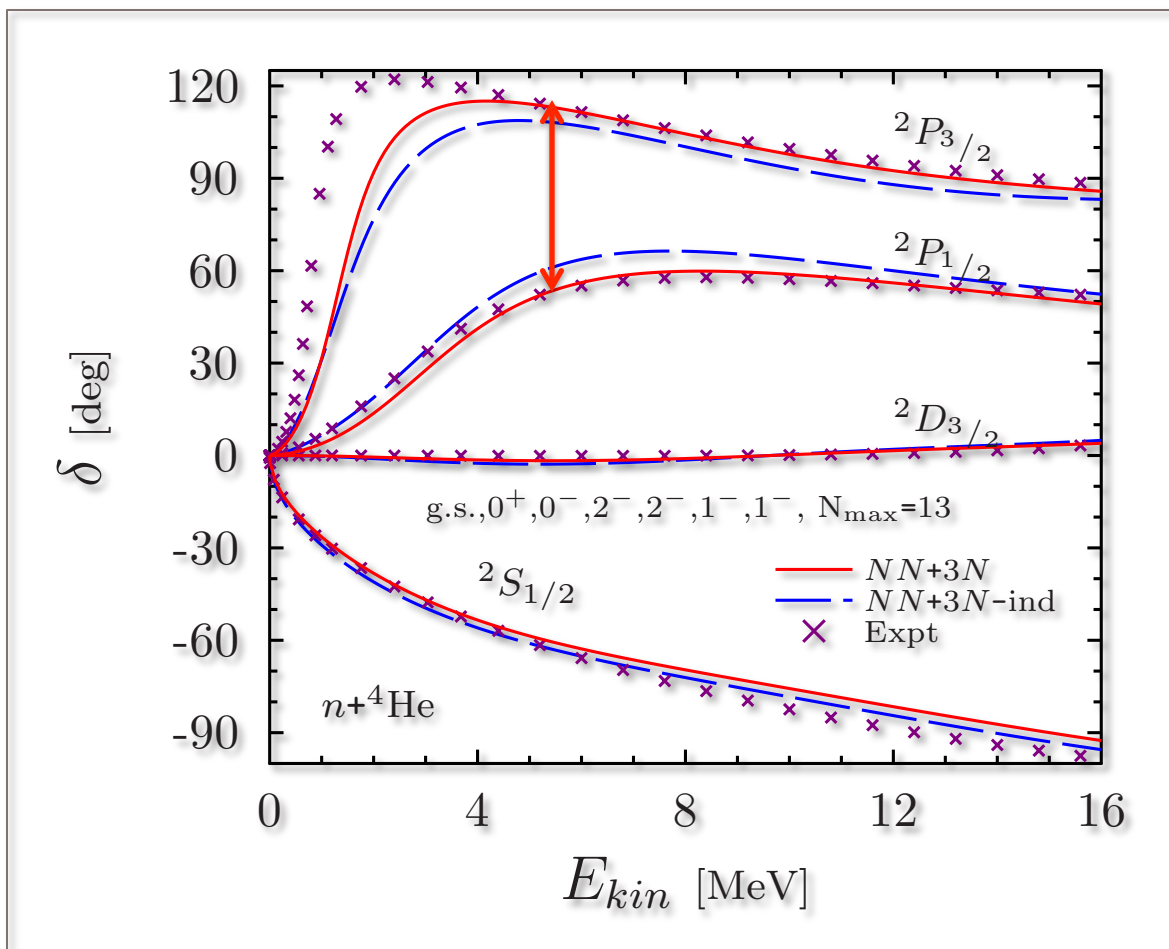
$$\{ax_n \in [0, a]\}$$

$$\int_0^1 g(x) dx \approx \sum_{n=1}^N \lambda_n g(x_n)$$

$$\int_0^a f_n(r) f_{n'}(r) dr \approx \delta_{nn'}$$



# n-<sup>4</sup>He scattering: NN vs. NN+NNN interactions



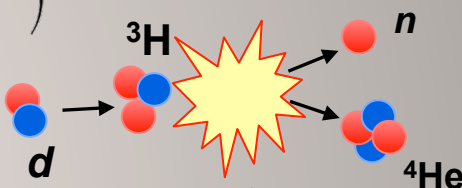
chiral NN+NNN(500)  
 chiral NN+NNN-induced  
 SRG  $\lambda=2 \text{ fm}^{-1}$   
 HO  $N_{max}=13$ ,  $\hbar\Omega=20 \text{ MeV}$

<sup>4</sup>He g.s. and 6 excited states

29.89	$2^+, 0$	$\left. \begin{array}{l} 2^+, 0 \\ 0^+, 0 \\ 2^-, 0 \\ 1^-, 0 \end{array} \right\} p(1)$
28.37	$2^+, 0$	
28.39	$2^+, 0$	
28.64	$2^+, 0$	
28.67	$2^+, 0$	
28.31	$1^+, 0$	
27.42	$2^+, 0$	
25.95	$1^-, 1$	
25.28	$0^-, 1$	
24.25	$1^-, 0$	
23.64	$1^-, 1$	
23.33	$2^-, 1$	
21.84	$2^-, 0$	
21.01	$0^-, 0$	
20.21	$0^+, 0$	

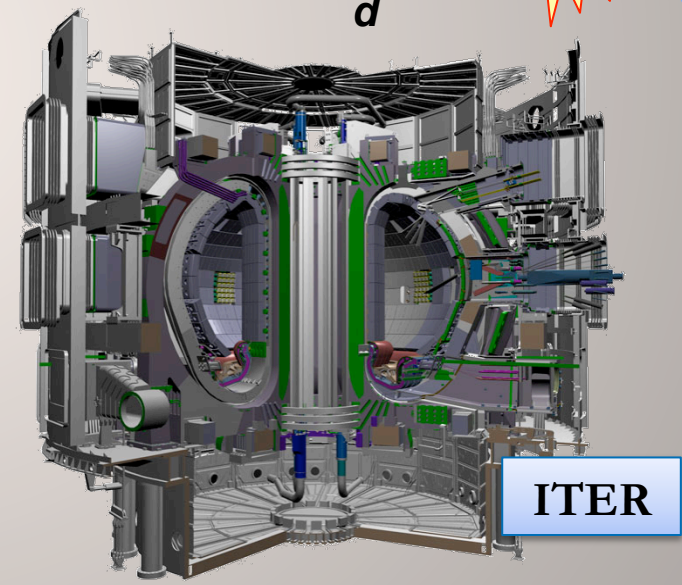
The largest splitting  
 between the P-waves  
 obtained with the chiral  
 NN+NNN interaction

# *Ab initio* calculation of the ${}^3\text{H}(d,n){}^4\text{He}$ fusion

$$\int dr r^2 \begin{pmatrix} \left\langle \begin{matrix} \mathbf{r}' \\ n \end{matrix} \left| \hat{A}_1(H-E)\hat{A}_1 \right| \begin{matrix} \mathbf{r} \\ \alpha \end{matrix} \right\rangle & \left\langle \begin{matrix} \mathbf{r}' \\ n \end{matrix} \left| \hat{A}_1(H-E)\hat{A}_2 \right| \begin{matrix} \mathbf{r} \\ {}^3\text{H} \end{matrix} \right\rangle \\ \left\langle \begin{matrix} \mathbf{r}' \\ d \end{matrix} \left| \hat{A}_2(H-E)\hat{A}_1 \right| \begin{matrix} \mathbf{r} \\ \alpha \end{matrix} \right\rangle & \left\langle \begin{matrix} \mathbf{r}' \\ d \end{matrix} \left| \hat{A}_2(H-E)\hat{A}_2 \right| \begin{matrix} \mathbf{r} \\ {}^3\text{H} \end{matrix} \right\rangle \end{pmatrix} \begin{pmatrix} \frac{g_1(r)}{r} \\ \frac{g_2(r)}{r} \end{pmatrix} = 0$$




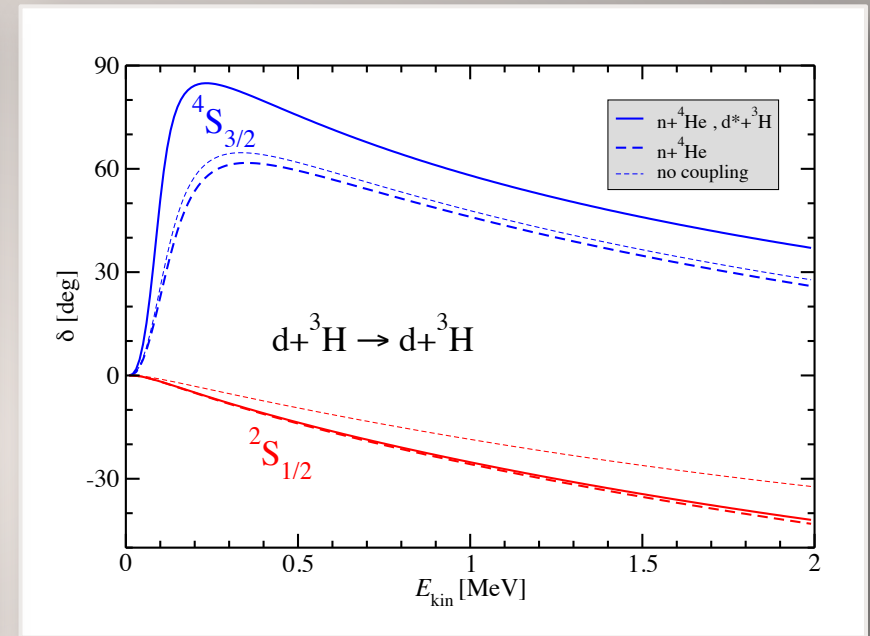
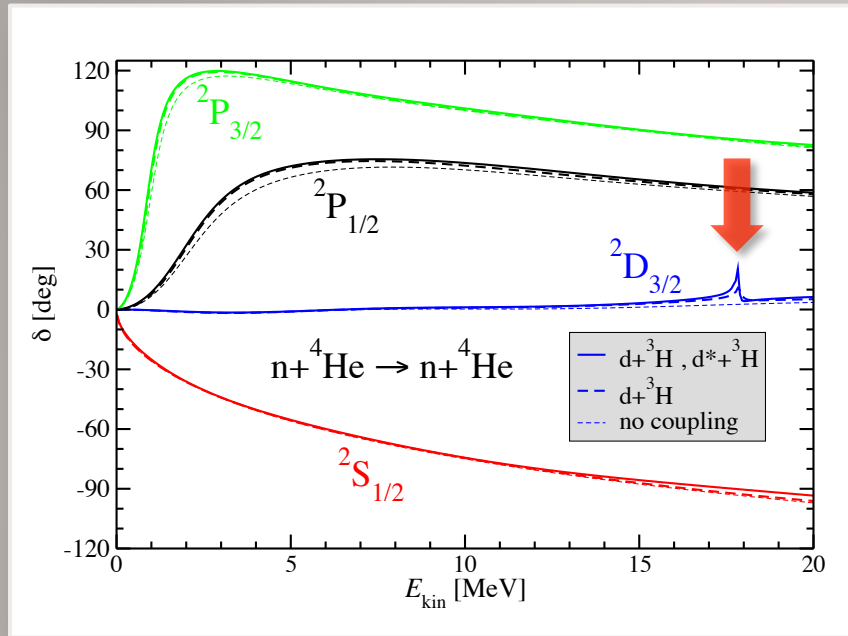
**NIF**



**ITER**

**energy generation**

# $d+^3\text{H}$ and $n+^4\text{He}$ elastic scattering: phase shifts



- $n+^4\text{He}$  elastic phase shifts:

- $d+^3\text{H}$  channels produces slight increase of the  $P$  phase shifts
- Appearance of resonance in the  $3/2^+$   $D$ -wave, just above  $d-^3\text{H}$  threshold

- $d+^3\text{H}$  elastic phase shifts:

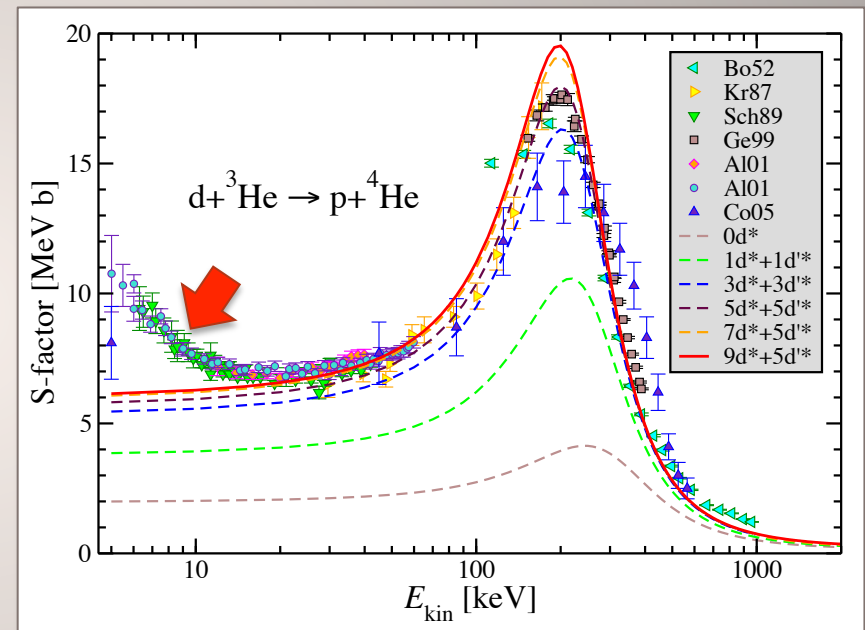
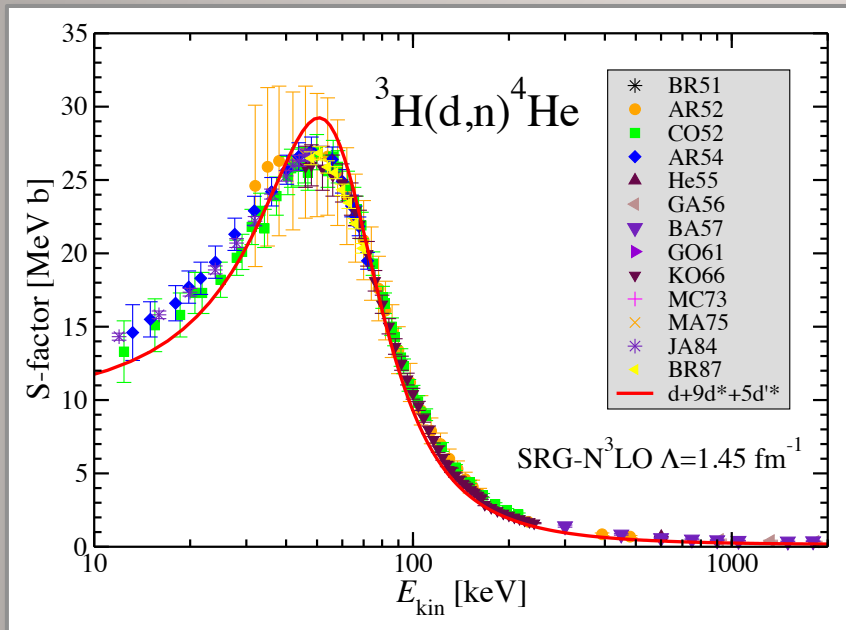
- Resonance in the  $^4S_{3/2}$  channel
- Repulsive behavior in the  $^2S_{1/2}$  channel  $\rightarrow$  Pauli principle

$d^*$  deuteron pseudo state in  $^3S_1$ - $^3D_1$  channel: deuteron polarization, virtual breakup

The  $d-^3\text{H}$  fusion takes place through a transition of  $d+^3\text{H}$  is  $S$ -wave to  $n+^4\text{He}$  in  $D$ -wave:  
Importance of the **tensor force**

# ${}^3\text{H}(d,n){}^4\text{He}$ & ${}^3\text{He}(d,p){}^4\text{He}$ fusion

- NCSM/RGM with  $SRG-N^3LO$  NN potentials



**Potential to address unresolved fusion research related questions:**

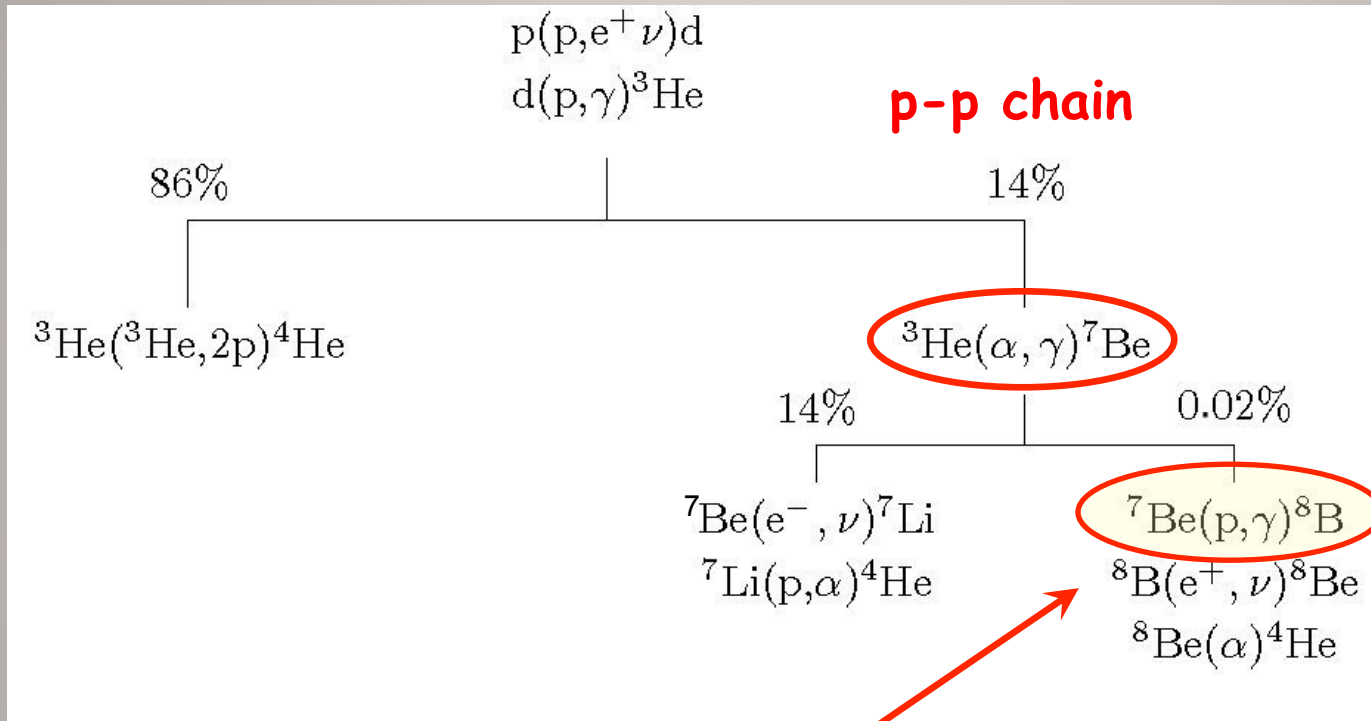
${}^3\text{H}(d,n){}^4\text{He}$  fusion with polarized deuterium and/or tritium,

${}^3\text{H}(d,n\gamma){}^4\text{He}$  bremsstrahlung,

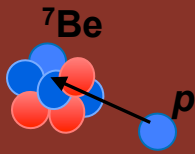
Electron screening at very low energies ...

P.N., S. Quaglioni,  
PRL **108**, 042503 (2012)

# Solar $p$ - $p$ chain



**Solar neutrinos**  
 $E_\nu < 15 \text{ MeV}$

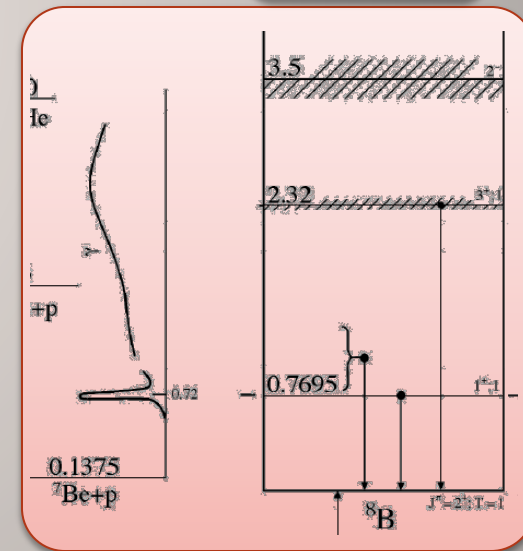
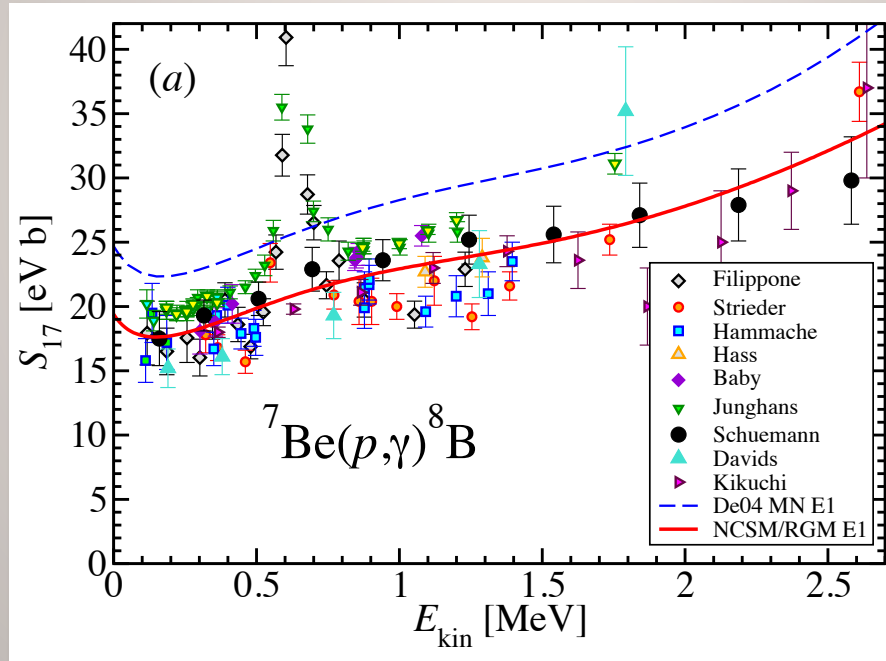


# ${}^7\text{Be}(p,\gamma){}^8\text{B}$ radiative capture

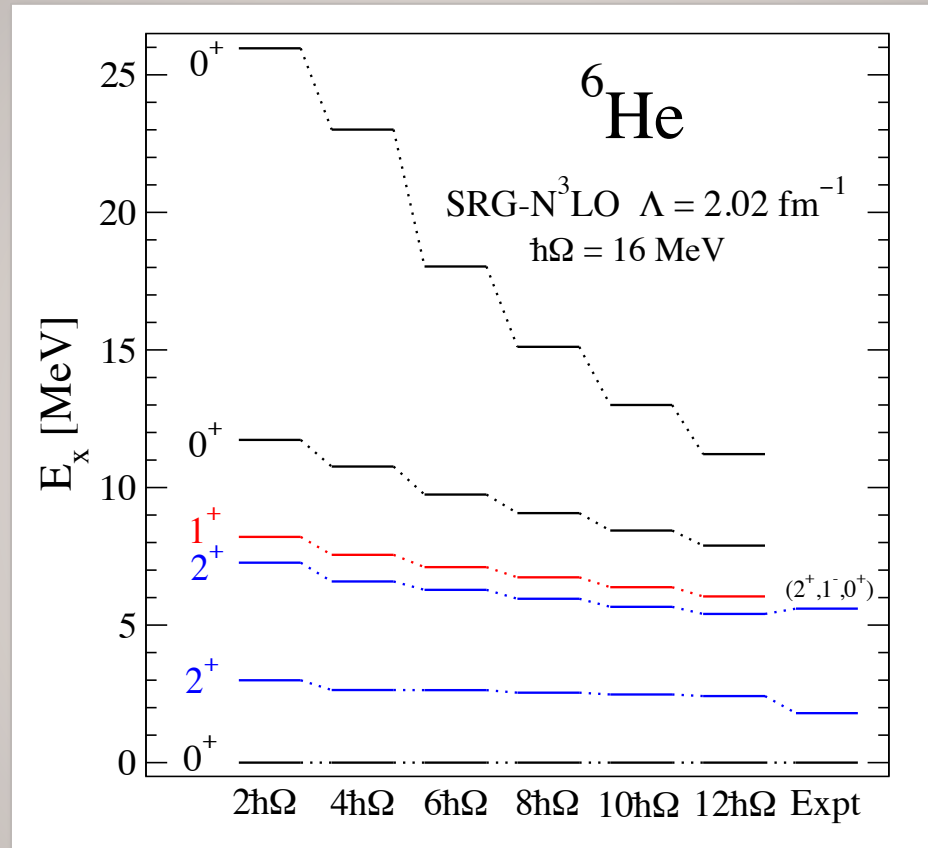
- NCSM/RGM calculation
  - ${}^7\text{Be}$  states  $3/2^-, 1/2^-, 7/2^-, 5/2^-_1, 5/2^-_2$
  - Soft NN potential (chiral SRG- $\text{N}^3\text{LO}$  with  $\Lambda = 1.86 \text{ fm}^{-1}$ )



${}^8\text{B}$   $2^+$  g.s. bound by 136 keV (expt. 137 keV)  
 $S(0) \sim 19.4(0.7) \text{ eV b}$   
 Data evaluation:  
 $S(0) = 20.8(2.1) \text{ eV b}$



# How about ${}^7\text{He}$ as $n+{}^6\text{He}$ ?



- All  ${}^6\text{He}$  excited states above  $2^+_1$  broad resonances or states in continuum
- Convergence of the NCSM/RGM  $n+{}^6\text{He}$  calculation slow with number of  ${}^6\text{He}$  states
  - Negative parity states also relevant
  - Technically not feasible to include more than  $\sim 5$  states

# New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^{\pi T}}\rangle = \sum_{Ni} c_{Ni} |ANiJ^{\pi T}\rangle$$

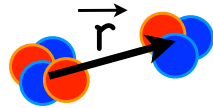
# New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{Ni} c_{Ni} |ANiJ^\pi T\rangle$$

NCSM/RGM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{\nu} \int d\vec{r} \chi_{\nu}(\vec{r}) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

$$\mathcal{H}\chi = E\mathcal{N}\chi$$

$$\bar{\chi} = \mathcal{N}^{+\frac{1}{2}} \chi$$

$$(\mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}}) \bar{\chi} = E \bar{\chi}$$

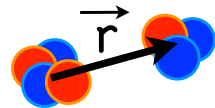
# New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{Ni} c_{Ni} |ANiJ^\pi T\rangle$$

NCSM/RGM



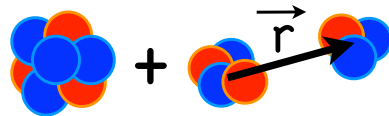
$$|\Psi_A^{J^\pi T}\rangle = \sum_{\nu} \int d\vec{r} \chi_{\nu}(\vec{r}) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

$$\mathcal{H}\chi = E\mathcal{N}\chi$$

$$\bar{\chi} = \mathcal{N}^{+\frac{1}{2}} \chi$$

$$(\mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}}) \bar{\chi} = E \bar{\chi}$$

NCSMC

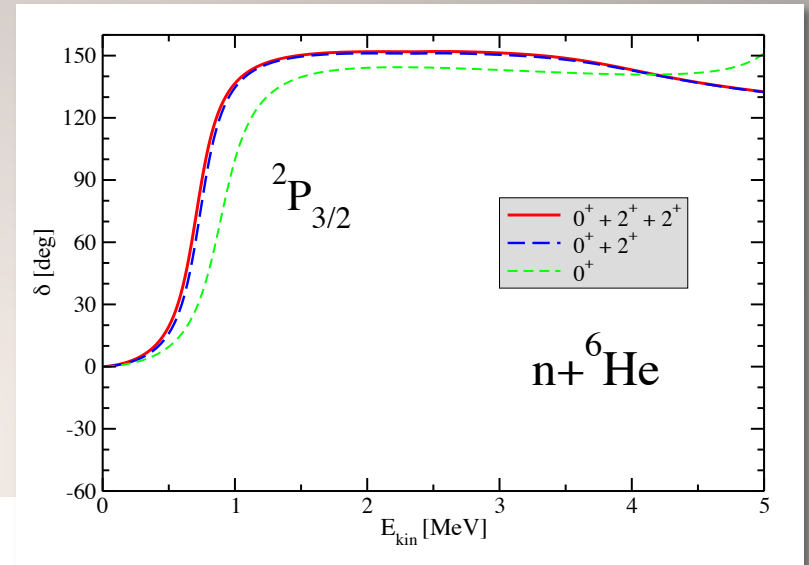
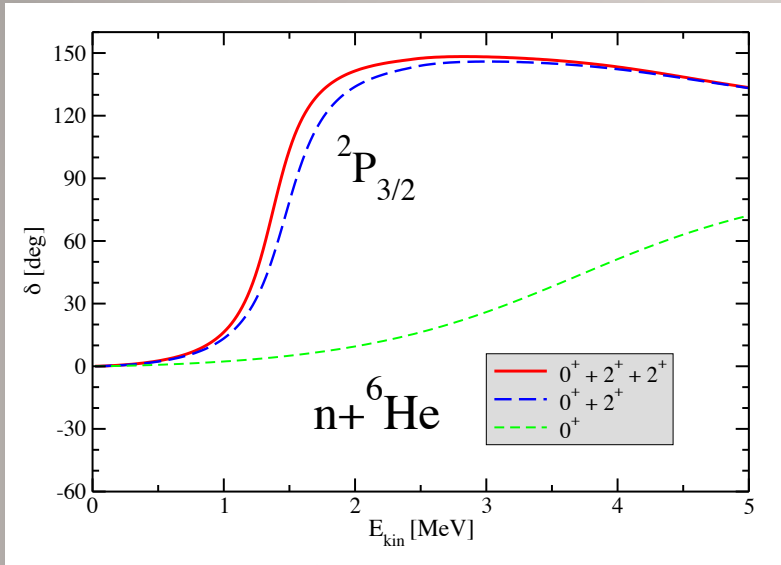


S. Baroni, P. N., and S. Quaglioni,  
PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

$$|\Psi_A^{J^\pi T}\rangle = \sum_{\lambda} c_{\lambda} |A\lambda J^\pi T\rangle + \sum_{\nu} \int d\vec{r} \left( \sum_{\nu'} \int d\vec{r}' \mathcal{N}_{\nu\nu'}^{-\frac{1}{2}}(\vec{r}, \vec{r}') \bar{\chi}_{\nu'}(\vec{r}') \right) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

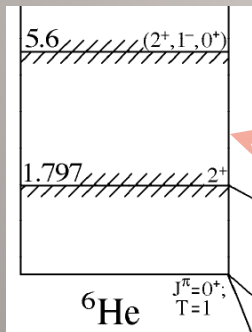
$$\begin{pmatrix} H_{NCSM} & \bar{h} \\ \bar{h} & \mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}} \end{pmatrix} \begin{pmatrix} c \\ \bar{\chi} \end{pmatrix} = E \begin{pmatrix} 1 & \bar{g} \\ \bar{g} & 1 \end{pmatrix} \begin{pmatrix} c \\ \bar{\chi} \end{pmatrix}$$

# NCSM with continuum: ${}^7\text{He} \leftrightarrow {}^6\text{He}+n$

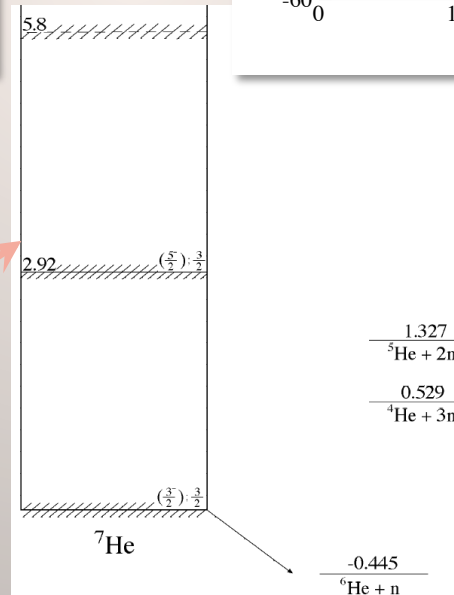


NCSM/RGM  
with up to three  ${}^6\text{He}$  states

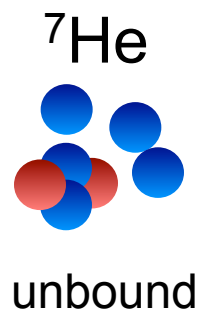
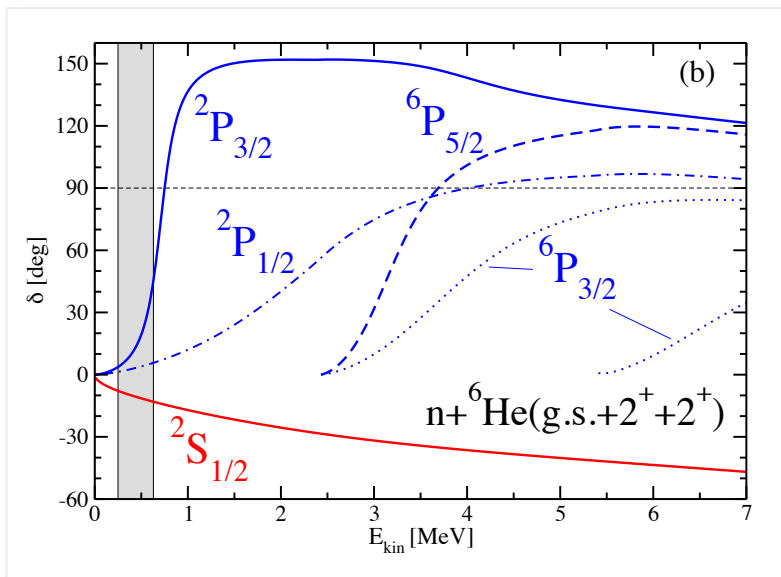
NCSMC  
with up to three  ${}^6\text{He}$  states  
*and* four  ${}^7\text{He}$  eigenstates  
More **7-nucleon correlations**  
Fewer target states needed



Expt.



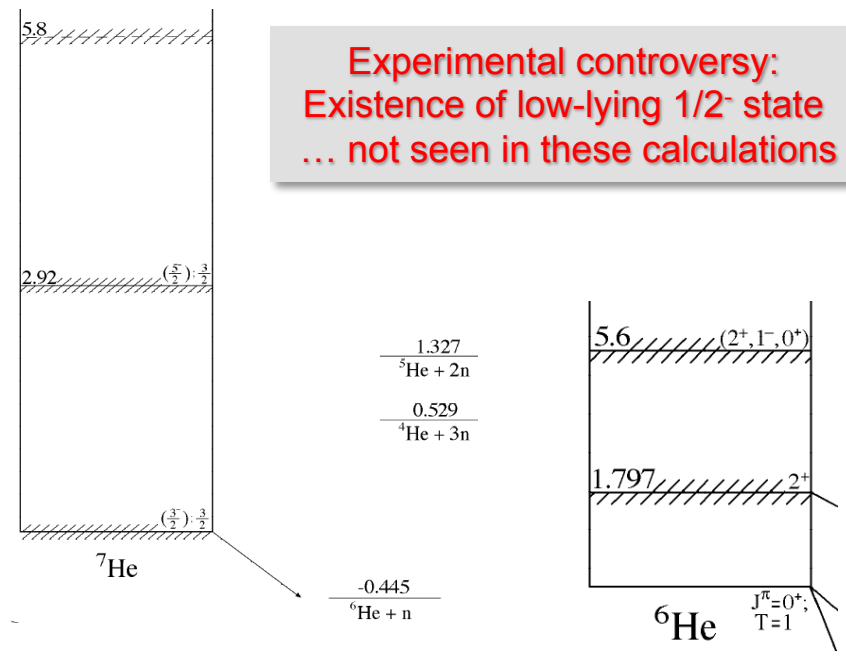
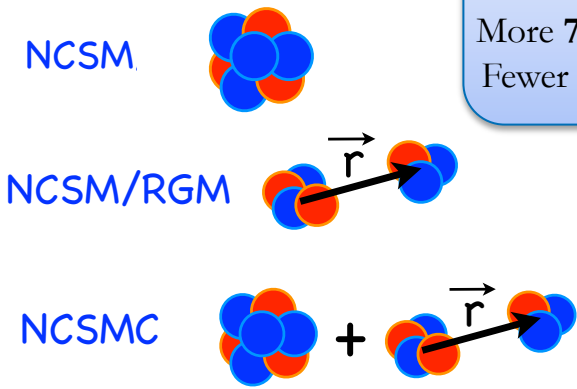
# NCSM with continuum: ${}^7\text{He} \leftrightarrow {}^6\text{He}+n$



$J^\pi$	experiment			NCSMC	
	$E_R$	$\Gamma$	Ref.	$E_R$	$\Gamma$
$3/2^-$	0.430(3)	0.182(5)	[2]	0.71	0.30
$5/2^-$	3.35(10)	1.99(17)	[40]	3.13	1.07
$1/2^-$	3.03(10)	2	[11]	2.39	2.89
	3.53	10	[15]		
	1.0(1)	0.75(8)	[5]		

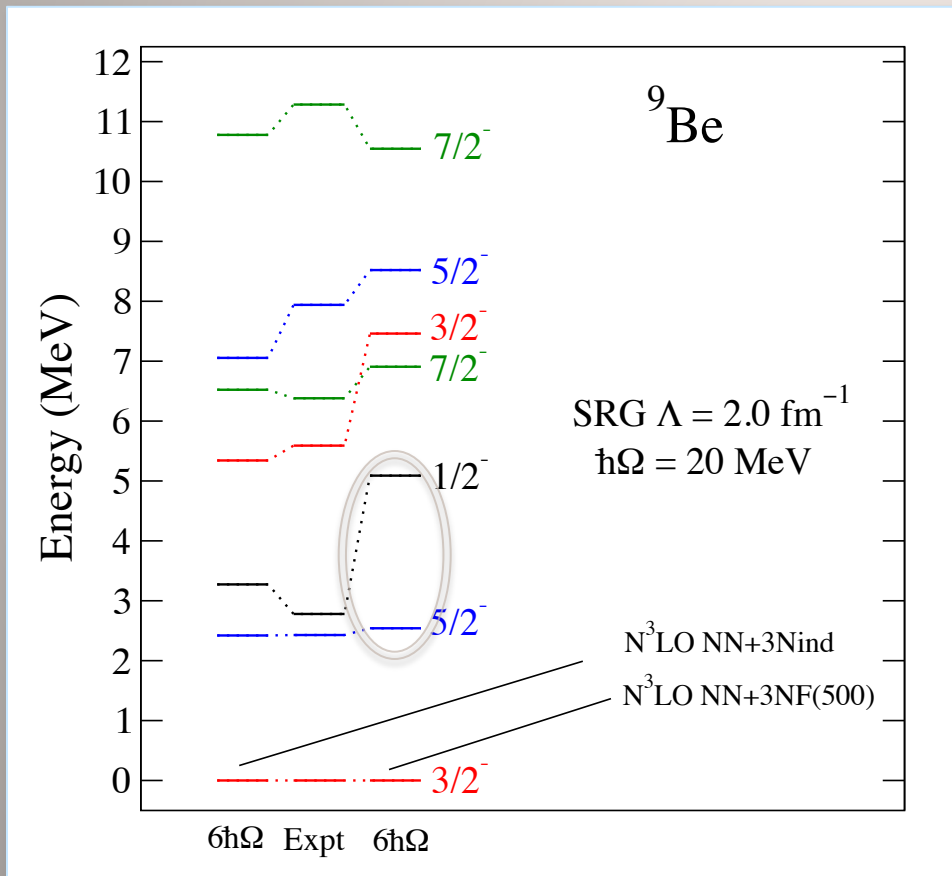
[11] A. H. Wuosmaa *et al.*, Phys. Rev. C **72**, 061301 (2005).

NCSMC  
with three  ${}^6\text{He}$  states  
and ten  ${}^7\text{He}$  eigenstates  
More 7-nucleon correlations  
Fewer  ${}^6\text{He}$ -core states needed



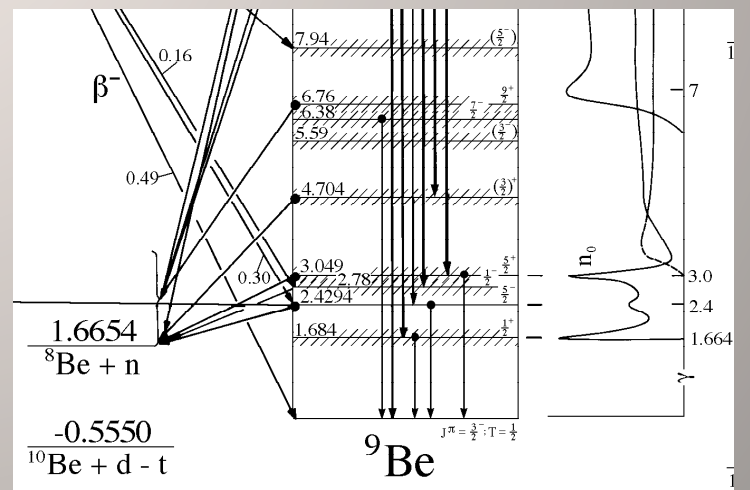
# Structure of ${}^9\text{Be}$

- The lightest nucleus where the 3N interaction appear to make the description of low lying states worse: Does this suggest our 3N interaction models are wrong?



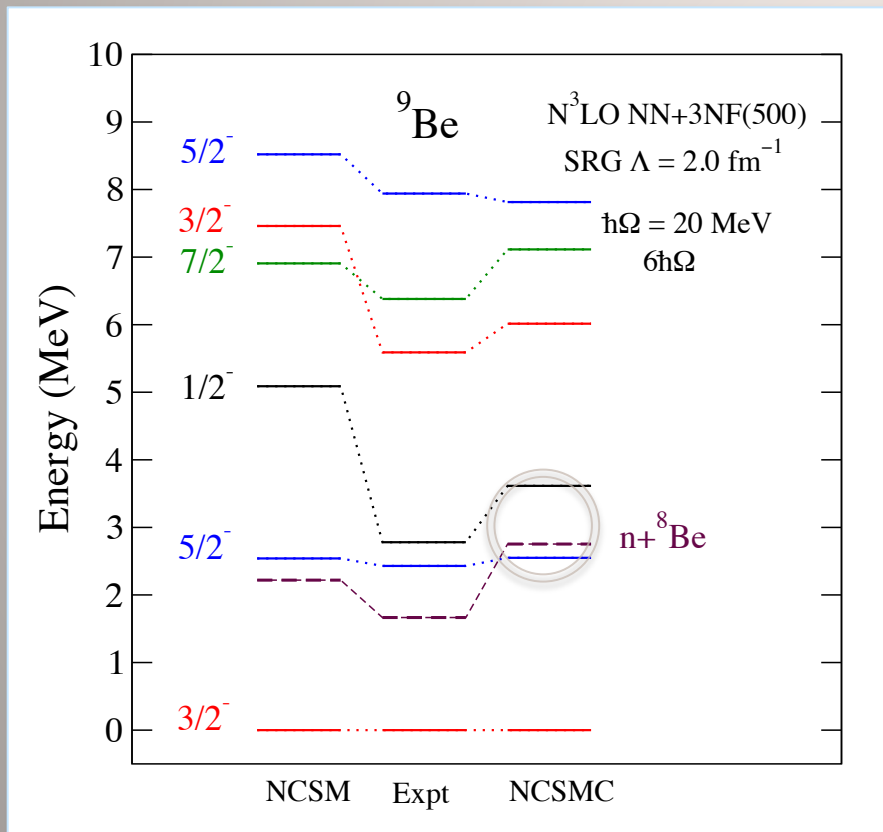
1/2- state moved to high energy by the 3N interaction

However, all excited states are resonances. What is the effect of the continuum?



# Structure of ${}^9\text{Be}$

- The lightest nucleus where the 3N interaction appear to make the description of low lying states worse: Does this suggest our 3N interaction models are wrong?



NCSMC with the 3N under way

Preliminary results  
in  $N_{\text{max}}=6$  space:

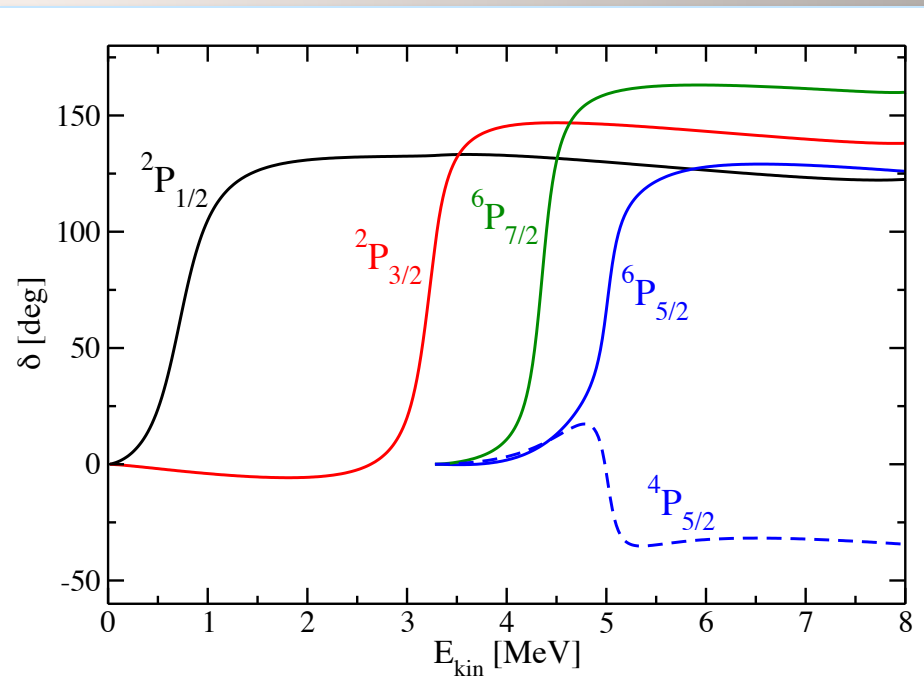
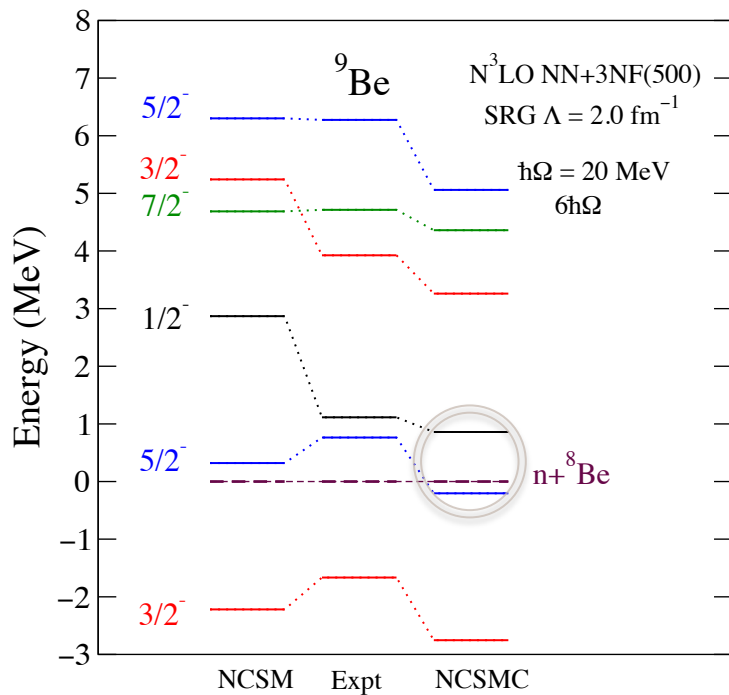
$5/2^-$  a very narrow (or bound)  
 $F$ -wave – no shift

$1/2^-$  a broader  $P$ -wave – a large  
shift due to the continuum

# Structure of ${}^9\text{Be}$

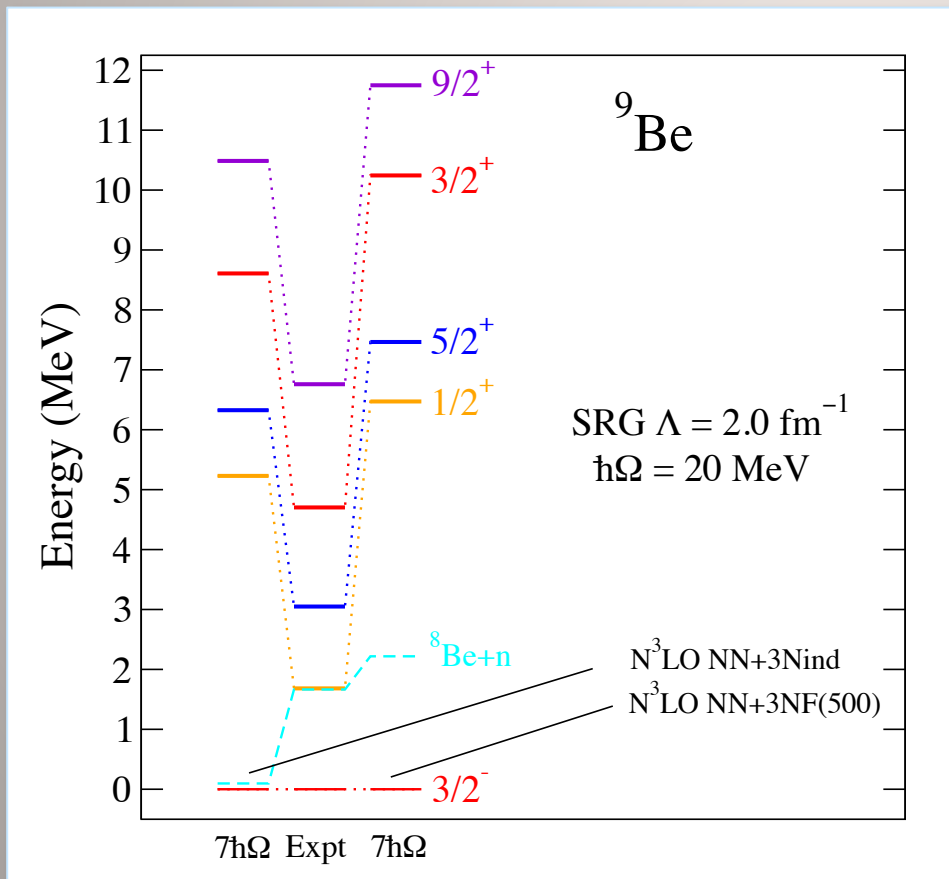
- The lightest nucleus where the 3N interaction appear to make the description of low lying states worse: Does this suggest our 3N interaction models are wrong? **No!**

NCSMC  
 $n-{}^8\text{Be}(0^+, 2^+) + {}^9\text{Be}$



# Structure of ${}^9\text{Be}$

- The unnatural parity states are predicted too high in the NCSM calculations. Is this a HO basis size problem? Is this an interaction dependent problem?



Bad with any interaction

Large HO basis size ( $N_{\text{max}}$ ) definitely helps.

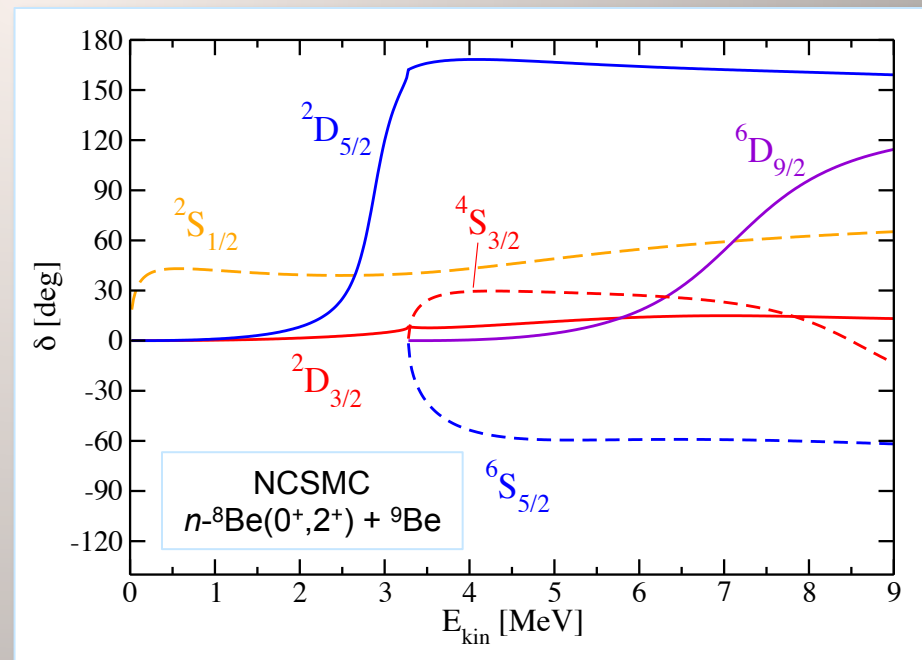
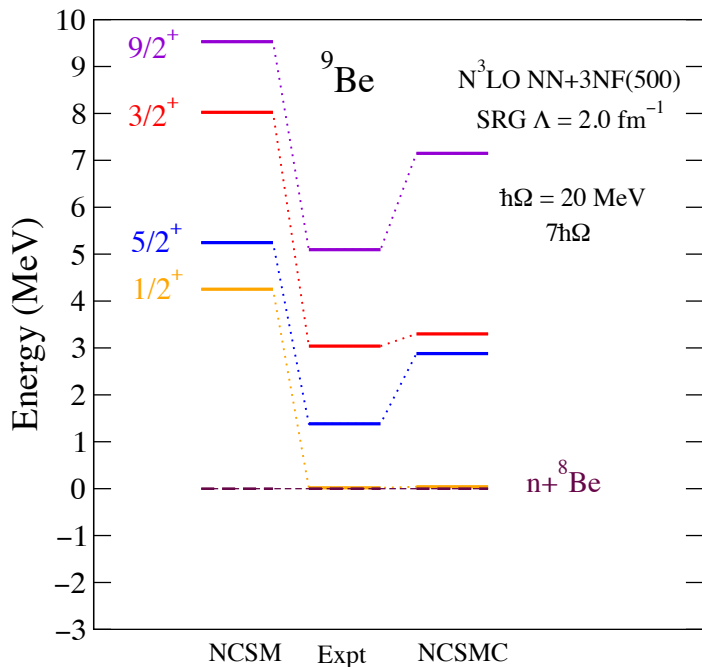
But...

# Structure of ${}^9\text{Be}$

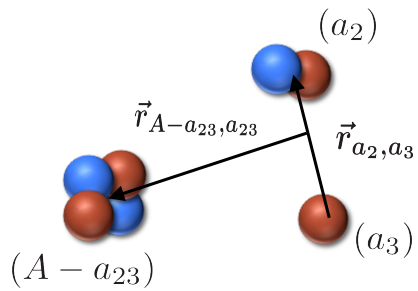
- The unnatural parity states are predicted too high in the NCSM calculations. Is this a HO basis size problem? Is this an interaction dependent problem?

Need to switch to NCSMC!

Breakup thresholds impact S-waves  
Continuum important for other waves as well



# NCSM/RGM for three-body clusters



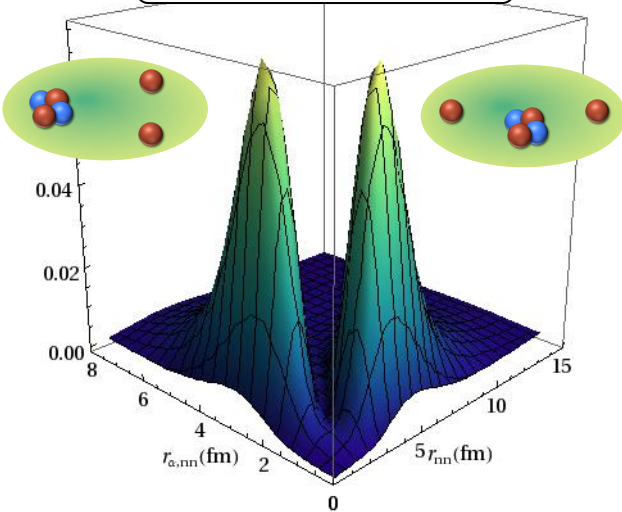
$$|\Phi_{\nu r}^{J^\pi T}\rangle \sim \underbrace{\Psi_{\alpha_1}^{A-a_{23}} \Psi_{\alpha_2}^{a_2} \Psi_{\alpha_3}^{a_3}}_{\text{NCSM}}$$

$$|\Psi^{J^\pi T}\rangle = \sum_{\nu} \int dx x^2 \int dy y^2 G_{\nu}^{J^\pi T}(x, y) \hat{A}_{\nu} |\Phi_{\nu xy}^{J^\pi T}\rangle$$

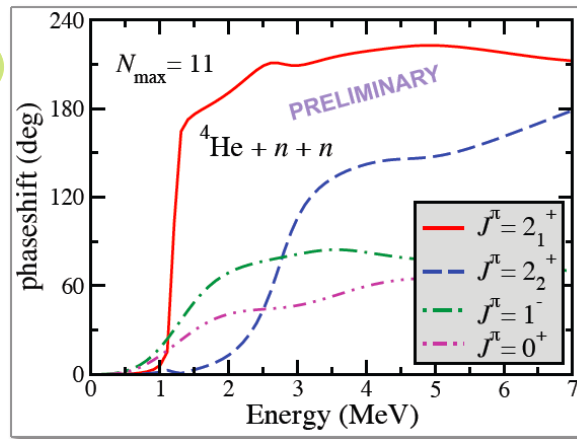
NCSM

## ${}^4\text{He}(\text{g.s.})+n+n$

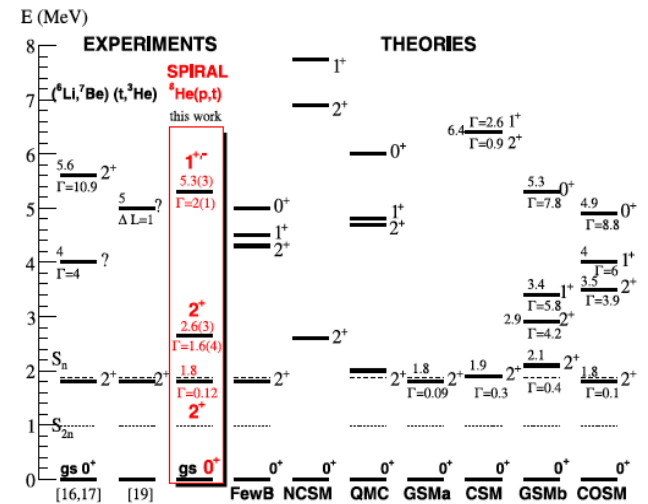
$$l_x = l_y = L = S_{nn} = 0$$



### Phaseshifts (preliminary results)



Recent exp.: Phys. Lett. B 718 (2012) 441



# Conclusions and Outlook

- *Ab initio* calculations of nuclear structure and reactions is a dynamic field with significant advances
- Several exact methods applicable to few-nucleon systems ( $A=3,4$ )
- Significant progress in *ab initio* approaches for  $p$ -shell nuclei
- New very successful approaches to medium mass nuclei
- We developed a new unified approach to nuclear bound and unbound states
  - Merging of the NCSM and the NCSM/RGM = **NCSMC**
- Outlook:
  - Inclusion of three-nucleon interactions in reaction calculations for  $A>5$  systems
  - Extension to composite projectiles (deuteron,  $^3\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ )
  - Extension to three-body clusters ( $^6\text{He} \sim ^4\text{He}+n+n$ )
  - Composite-projectile reactions on targets heavier than  $^4\text{He}$

Talk by Guillaume Hupin,  
A5, Wednesday 15:50

Talk by Carolina Romero-Redondo,  
B3, Tuesday 15:50

# NCSMC and NCSM/RGM collaborators

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(TRIUMF)

Guillaume Hupin, Michael Kruse (LLNL)

Simone Baroni (ULB)